

C/O FORM AANZ	C/O FORM E
C/O FORM AHK	C/O FORM RCEP
C/O FORM AI	C/O FORM S
C/O FORM AJ	C/O FORM VC
C/O FORM CPTPP	C/O FORM VJ
C/O FORM D (Overleaf Notes)	C/O FORM VN-CU
C/O FORM AK	C/O FORM VK
C/O FORM AK (Additional page)	C/O FORM VK (Additional page)

1. Goods Consigned from (Exporter's name, address and country)			Certificate No.		Form AANZ
			AGREEMENT ESTABLISHING THE ASEAN – AUSTRALIA – NEW ZEALAND FREE TRADE AREA (AANZFTA) CERTIFICATE OF ORIGIN (Combined Declaration and Certificate) Issued in VIET NAM (Country) (see Overleaf Notes)		
2. Goods Consigned to (Importer's/ Consignee's name, address, country)			4. For Official Use <input type="checkbox"/> Preferential Treatment Given Under AANZFTA <input type="checkbox"/> Preferential Treatment Not Given (Please state reason/s) Signature of Authorised Signatory of the Importing Country		
3. Means of transport and route (if known) Shipment Date: Vessel's name/Aircraft etc.: Port of Discharge:					
5. Item number	6. Marks and numbers on packages	7. Number and kind of packages; description of goods including HS Code (6 digits) and brand name (if applicable). Name of company issuing third party invoice (if applicable)	8. Origin Conferring Criterion (see Overleaf Notes)	9. Quantity (Gross weight or other measurement), and value (FOB) where RVC is applied (see Overleaf Notes)	10. Invoice number(s) and date of invoice(s)
11. Declaration by the exporter The undersigned hereby declares that the above details and statements are correct; that all the goods were produced in (country) and that they comply with the rules of origin, as provided in Chapter 3 of the Agreement Establishing the ASEAN - Australia - New Zealand Free Trade Area for the goods exported to (importing country) Place and date, name, signature and company of authorised signatory			12. Certification On the basis of control carried out, it is hereby certified that the information herein is correct and that the goods described comply with the origin requirements specified in the Agreement Establishing the ASEAN – Australia - New Zealand Free Trade Area. Place and date, signature and stamp of Authorised Issuing Authority/Body		
13. <input type="checkbox"/> Back-to-back Certificate of Origin <input type="checkbox"/> De Minimis			<input type="checkbox"/> Subject of third-party invoice <input type="checkbox"/> Accumulation		
			<input type="checkbox"/> Issued retroactively		

OVERLEAF NOTES

1. Countries which accept this form for the purpose of preferential treatment under the Agreement Establishing the ASEAN-Australia-New Zealand Free Trade Area (the Agreement):

Australia	Brunei Darussalam	Cambodia	Indonesia	Lao PDR	Malaysia
Myanmar	New Zealand	Philippines	Singapore	Thailand	Viet Nam

 (herein after individually referred to as a Party)
2. **CONDITIONS:** To be eligible for the preferential treatment under the AANZFTA, goods must:
 - a. Fall within a description of products eligible for concessions in the importing Party;
 - b. Comply with all relevant provisions of Chapter 3 (Rules of Origin) of the Agreement.
3. **EXPORTER AND CONSIGNEE:** Details of the exporter of the goods (including name, address and country) and consignee (name and address) must be provided in Box 1 and Box 2, respectively.
4. **DESCRIPTION OF GOODS:** The description of each good in Box 7 must include the Harmonized Commodity Description and Coding System (HS) subheading at the 6-digit level of the exported product, and if applicable, product name and brand name. This information should be sufficiently detailed to enable the products to be identified by the customs officer examining them.
5. **ORIGIN CRITERIA:** For the goods that meet the origin criteria, the exporter should indicate in Box 8 of this Form, the origin criteria met, in the manner shown in the following table:

Circumstances of production or manufacture in the country named in Box 1 of this form:	Insert in Box 8
(a) Goods wholly produced or obtained satisfying Article 2.1(a) of Chapter 3 of the Agreement	WO
(b) Goods produced entirely satisfying Article 2.1(c) of Chapter 3 of the Agreement	PE
(c) Not wholly produced or obtained in a Party, provided that the goods satisfy Article 4 of Chapter 3 of the Agreement as amended by the First Protocol i.e., if the good is specified in Annex 2, all the product specific requirements listed have been met: <ul style="list-style-type: none"> - Change in Tariff Classification - Regional Value Content - Regional Value Content + Change in Tariff Classification - Other, including a Specific Manufacturing or Processing Operation 	CTC RVC “e.g. CTSH + RVC 35%” Other

6. **EACH GOOD CLAIMING PREFERENTIAL TARIFF TREATMENT MUST QUALIFY IN ITS OWN RIGHT:** It should be noted that all the goods in a consignment must qualify separately in their own right. This is of particular relevance when similar articles of different sizes or spare parts are exported.
7. **FOB VALUE:** For Consignments to all Parties where the origin criteria includes a Regional Value Content requirement:
 - An exporter from an ASEAN Member State must provide in Box 9 the FOB value of the goods
 - An exporter from Australia or New Zealand can complete either Box 9 or provide a separate “Exporter Declaration” stating the FOB value of the goods.

The FOB value is not required for consignments where the origin criteria does not include a Regional Value Content requirement. In the case of goods exported from and imported by Cambodia and Myanmar, the FOB value shall be included in the Certificate of Origin or the back-to-back Certificate of Origin for all goods, irrespective of the origin criteria used, for two (2) years from the date of entry into force of the First Protocol or an earlier date as endorsed by the Committee on Trade in Goods.
8. **INVOICES:** Indicate the invoice number and date for each item. The invoice should be the one issued for the importation of the good into the importing Party.
9. **SUBJECT OF THIRD PARTY INVOICE:** In cases where invoices used for the importation are issued in a third country, in accordance with Rule 22 of the Operational Certification Procedures, the “SUBJECT OF THIRD-PARTY INVOICE” box in Box 13 should be ticked (✓) and the name of the company issuing the invoice should be provided in Box 7 or, if there is insufficient space, on a continuation sheet. The number of the invoices issued by the manufacturers or the exporters and the number of the invoices issued by the trader (if known) for the importation of goods into the importing Party should be indicated in Box 10.
10. **BACK-TO-BACK CERTIFICATE OF ORIGIN:** In the case of a back-to-back certificate of origin issued in accordance with paragraph 3 of Rule 10 of the Operational Certification Procedures, the back-to-back certificate of origin in Box 13 should be ticked (✓).
11. **CERTIFIED TRUE COPY:** In case of a certified true copy, the words “CERTIFIED TRUE COPY” should be written or stamped on Box 12 of the Certificate with the date of issuance of the copy in accordance with Rule 11 of the Operational Certification Procedures.
12. **FOR OFFICIAL USE:** The Customs Authority of the Importing Party must indicate (✓) in the relevant boxes in Box 4 whether or not preferential tariff treatment is accorded.
13. **BOX 13:** The items in Box 13 should be ticked (✓), as appropriate, in those cases where such items are relevant to the goods covered by the Certificate.

1. Goods Consigned from (Exporter's name, address and country)			Certificate No.		Form AANZ
			AGREEMENT ESTABLISHING THE ASEAN – AUSTRALIA – NEW ZEALAND FREE TRADE AREA (AANZFTA) CERTIFICATE OF ORIGIN (Combined Declaration and Certificate) Issued in VIET NAM (Country) (see Overleaf Notes)		
2. Goods Consigned to (Importer's/ Consignee's name, address, country)			4. For Official Use <input type="checkbox"/> Preferential Treatment Given Under AANZFTA <input type="checkbox"/> Preferential Treatment Not Given (Please state reason/s) Signature of Authorised Signatory of the Importing Country		
3. Means of transport and route (if known) Shipment Date: Vessel's name/Aircraft etc.: Port of Discharge:					
5. Item number	6. Marks and numbers on packages	7. Number and kind of packages; description of goods including HS Code (6 digits) and brand name (if applicable). Name of company issuing third party invoice (if applicable)	8. Origin Conferring Criterion (see Overleaf Notes)	9. Quantity (Gross weight or other measurement), and value (FOB) where RVC is applied (see Overleaf Notes)	10. Invoice number(s) and date of invoice(s)
11. Declaration by the exporter The undersigned hereby declares that the above details and statements are correct; that all the goods were produced in (country) and that they comply with the rules of origin, as provided in Chapter 3 of the Agreement Establishing the ASEAN - Australia - New Zealand Free Trade Area for the goods exported to (importing country) Place and date, name, signature and company of authorised signatory			12. Certification On the basis of control carried out, it is hereby certified that the information herein is correct and that the goods described comply with the origin requirements specified in the Agreement Establishing the ASEAN – Australia - New Zealand Free Trade Area. Place and date, signature and stamp of Authorised Issuing Authority/Body		
13. <input type="checkbox"/> Back-to-back Certificate of Origin <input type="checkbox"/> De Minimis			<input type="checkbox"/> Subject of third-party invoice <input type="checkbox"/> Accumulation		
			<input type="checkbox"/> Issued retroactively		

OVERLEAF NOTES

1. Countries which accept this form for the purpose of preferential treatment under the Agreement Establishing the ASEAN-Australia-New Zealand Free Trade Area (the Agreement):

Australia	Brunei Darussalam	Cambodia	Indonesia	Lao PDR	Malaysia
Myanmar	New Zealand	Philippines	Singapore	Thailand	Viet Nam

 (herein after individually referred to as a Party)
2. **CONDITIONS:** To be eligible for the preferential treatment under the AANZFTA, goods must:
 - a. Fall within a description of products eligible for concessions in the importing Party;
 - b. Comply with all relevant provisions of Chapter 3 (Rules of Origin) of the Agreement.
3. **EXPORTER AND CONSIGNEE:** Details of the exporter of the goods (including name, address and country) and consignee (name and address) must be provided in Box 1 and Box 2, respectively.
4. **DESCRIPTION OF GOODS:** The description of each good in Box 7 must include the Harmonized Commodity Description and Coding System (HS) subheading at the 6-digit level of the exported product, and if applicable, product name and brand name. This information should be sufficiently detailed to enable the products to be identified by the customs officer examining them.
5. **ORIGIN CRITERIA:** For the goods that meet the origin criteria, the exporter should indicate in Box 8 of this Form, the origin criteria met, in the manner shown in the following table:

Circumstances of production or manufacture in the country named in Box 1 of this form:	Insert in Box 8
(d) Goods wholly produced or obtained satisfying Article 2.1(a) of Chapter 3 of the Agreement	WO
(e) Goods produced entirely satisfying Article 2.1(c) of Chapter 3 of the Agreement	PE
(f) Not wholly produced or obtained in a Party, provided that the goods satisfy Article 4 of Chapter 3 of the Agreement as amended by the First Protocol i.e., if the good is specified in Annex 2, all the product specific requirements listed have been met: <ul style="list-style-type: none"> - Change in Tariff Classification - Regional Value Content - Regional Value Content + Change in Tariff Classification - Other, including a Specific Manufacturing or Processing Operation 	CTC RVC “e.g. CTSH + RVC 35%” Other

6. **EACH GOOD CLAIMING PREFERENTIAL TARIFF TREATMENT MUST QUALIFY IN ITS OWN RIGHT:** It should be noted that all the goods in a consignment must qualify separately in their own right. This is of particular relevance when similar articles of different sizes or spare parts are exported.
7. **FOB VALUE:** For Consignments to all Parties where the origin criteria includes a Regional Value Content requirement:
 - An exporter from an ASEAN Member State must provide in Box 9 the FOB value of the goods
 - An exporter from Australia or New Zealand can complete either Box 9 or provide a separate “Exporter Declaration” stating the FOB value of the goods.

The FOB value is not required for consignments where the origin criteria does not include a Regional Value Content requirement. In the case of goods exported from and imported by Cambodia and Myanmar, the FOB value shall be included in the Certificate of Origin or the back-to-back Certificate of Origin for all goods, irrespective of the origin criteria used, for two (2) years from the date of entry into force of the First Protocol or an earlier date as endorsed by the Committee on Trade in Goods.
8. **INVOICES:** Indicate the invoice number and date for each item. The invoice should be the one issued for the importation of the good into the importing Party.
9. **SUBJECT OF THIRD PARTY INVOICE:** In cases where invoices used for the importation are issued in a third country, in accordance with Rule 22 of the Operational Certification Procedures, the “SUBJECT OF THIRD-PARTY INVOICE” box in Box 13 should be ticked (✓) and the name of the company issuing the invoice should be provided in Box 7 or, if there is insufficient space, on a continuation sheet. The number of the invoices issued by the manufacturers or the exporters and the number of the invoices issued by the trader (if known) for the importation of goods into the importing Party should be indicated in Box 10.
10. **BACK-TO-BACK CERTIFICATE OF ORIGIN:** In the case of a back-to-back certificate of origin issued in accordance with paragraph 3 of Rule 10 of the Operational Certification Procedures, the back-to-back certificate of origin in Box 13 should be ticked (✓).
11. **CERTIFIED TRUE COPY:** In case of a certified true copy, the words “CERTIFIED TRUE COPY” should be written or stamped on Box 12 of the Certificate with the date of issuance of the copy in accordance with Rule 11 of the Operational Certification Procedures.
12. **FOR OFFICIAL USE:** The Customs Authority of the Importing Party must indicate (✓) in the relevant boxes in Box 4 whether or not preferential tariff treatment is accorded.
13. **BOX 13:** The items in Box 13 should be ticked (✓), as appropriate, in those cases where such items are relevant to the goods covered by the Certificate.

1. Goods Consigned from (Exporter's name, address and country)			Certificate No.		Form AANZ
			<p align="center">AGREEMENT ESTABLISHING THE ASEAN – AUSTRALIA – NEW ZEALAND FREE TRADE AREA (AANZFTA)</p> <p align="center">CERTIFICATE OF ORIGIN (Combined Declaration and Certificate)</p> <p align="center">Issued in VIET NAM (Country) (see Overleaf Notes)</p>		
2. Goods Consigned to (Importer's/ Consignee's name, address, country)			<p>4. For Official Use</p> <p><input type="checkbox"/> Preferential Treatment Given Under AANZFTA</p> <p><input type="checkbox"/> Preferential Treatment Not Given (Please state reason/s)</p> <p>..... Signature of Authorised Signatory of the Importing Country</p>		
3. Means of transport and route (if known)					
<p>Shipment Date:</p> <p>Vessel's name/Aircraft etc.:</p> <p>Port of Discharge:</p>					
5. Item number	6. Marks and numbers on packages	7. Number and kind of packages; description of goods including HS Code (6 digits) and brand name (if applicable). Name of company issuing third party invoice (if applicable)	8. Origin Conferring Criterion (see Overleaf Notes)	9. Quantity (Gross weight or other measurement), and value (FOB) where RVC is applied (see Overleaf Notes)	10. Invoice number(s) and date of invoice(s)
<p>11. Declaration by the exporter</p> <p>The undersigned hereby declares that the above details and statements are correct; that all the goods were produced in</p> <p>..... (country)</p> <p>and that they comply with the rules of origin, as provided in Chapter 3 of the Agreement Establishing the ASEAN - Australia - New Zealand Free Trade Area for the goods exported to</p> <p>..... (importing country)</p> <p>Place and date, name, signature and company of authorised signatory</p>			<p>12. Certification</p> <p>On the basis of control carried out, it is hereby certified that the information herein is correct and that the goods described comply with the origin requirements specified in the Agreement Establishing the ASEAN – Australia - New Zealand Free Trade Area.</p> <p>Place and date, signature and stamp of Authorised Issuing Authority/Body</p>		
<p>13. <input type="checkbox"/> Back-to-back Certificate of Origin</p> <p><input type="checkbox"/> De Minimis</p>			<p><input type="checkbox"/> Subject of third-party invoice</p> <p><input type="checkbox"/> Accumulation</p> <p><input type="checkbox"/> Issued retroactively</p>		

OVERLEAF NOTES

1. Countries which accept this form for the purpose of preferential treatment under the Agreement Establishing the ASEAN-Australia-New Zealand Free Trade Area (the Agreement):

Australia	Brunei Darussalam	Cambodia	Indonesia	Lao PDR	Malaysia
Myanmar	New Zealand	Philippines	Singapore	Thailand	Viet Nam

 (herein after individually referred to as a Party)
2. **CONDITIONS:** To be eligible for the preferential treatment under the AANZFTA, goods must:
 - a. Fall within a description of products eligible for concessions in the importing Party;
 - b. Comply with all relevant provisions of Chapter 3 (Rules of Origin) of the Agreement.
3. **EXPORTER AND CONSIGNEE:** Details of the exporter of the goods (including name, address and country) and consignee (name and address) must be provided in Box 1 and Box 2, respectively.
4. **DESCRIPTION OF GOODS:** The description of each good in Box7 must include the Harmonized Commodity Description and Coding System (HS) subheading at the 6-digit level of the exported product, and if applicable, product name and brand name. This information should be sufficiently detailed to enable the products to be identified by the customs officer examining them.
5. **ORIGIN CRITERIA:** For the goods that meet the origin criteria, the exporter should indicate in Box8of this Form, the origin criteria met, in the manner shown in the following table:

Circumstances of production or manufacture in the country named in Box11of this form:	Insert in Box8
(g) Goods wholly produced or obtained satisfying Article 2.1(a) of Chapter 3 of the Agreement	WO
(h) Goods produced entirely satisfying Article 2.1(c) of Chapter 3 of the Agreement	PE
(i) Not wholly produced or obtained in a Party, provided that the goods satisfy Article 4of Chapter 3 of the Agreement as amended by the First Protocol i.e., if the good is specified in Annex 2, all the product specific requirements listed have been met: <ul style="list-style-type: none"> - Change in Tariff Classification - Regional Value Content - Regional Value Content + Change in Tariff Classification - Other, including a Specific Manufacturing or Processing Operation 	CTC RVC “e.g. CTSH + RVC 35%” Other

6. **EACH GOOD CLAIMING PREFERENTIAL TARIFF TREATMENT MUST QUALIFY IN ITS OWN RIGHT:** It should be noted that all the goods in a consignment must qualify separately in their own right. This is of particular relevance when similar articles of different sizes or spare parts are exported.
7. **FOB VALUE:** For Consignments to all Parties where the origin criteria includes a Regional Value Content requirement:
 - An exporter from an ASEAN Member State must provide in Box 9 the FOB value of the goods
 - An exporter from Australia or New Zealand can complete either Box 9 or provide a separate “Exporter Declaration” stating the FOB value of the goods.

The FOB value is not required for consignments where the origin criteria does not include a Regional Value Content requirement. In the case of goods exported from and imported by Cambodia and Myanmar, the FOB value shall be included in the Certificate of Origin or the back-to-back Certificate of Origin for all goods, irrespective of the origin criteria used, for two (2) years from the date of entry into force of the First Protocol or an earlier date as endorsed by the Committee on Trade in Goods.
8. **INVOICES:** Indicate the invoice number and date for each item. The invoice should be the one issued for the importation of the good into the importing Party.
9. **SUBJECT OF THIRD PARTY INVOICE:** In cases where invoices used for the importation are issued in a third country, in accordance with Rule 22 of the Operational Certification Procedures, the “SUBJECT OF THIRD-PARTY INVOICE” box in Box 13should be ticked (✓)and the name of the company issuing the invoice should be provided in Box 7or, if there is insufficient space, on a continuation sheet. The number of the invoices issued by the manufacturers or the exporters and the number of the invoices issued by the trader (if known) for the importation of goods into the importing Party should be indicated in Box 10.
10. **BACK-TO-BACK CERTIFICATE OF ORIGIN:** In the case of a back-to-back certificate of origin issued in accordance with paragraph 3 of Rule 10 of the Operational Certification Procedures, the back-to-back certificate of origin in Box 13should be ticked (✓).
11. **CERTIFIED TRUE COPY:** In case of a certified true copy, the words “CERTIFIED TRUE COPY” should be written or stamped on Box 12of the Certificate with the date of issuance of the copy in accordance with Rule 11 of the Operational Certification Procedures.
12. **FOR OFFICIAL USE:** The Customs Authority of the Importing Party must indicate (✓) in the relevant boxes in Box4 whether or not preferential tariff treatment is accorded.
13. **BOX 13:**The items in Box 13 should be ticked (✓), as appropriate,in those cases where such items are relevant to the goods covered by the Certificate.

1. Goods Consigned from (Exporter's name, address and Country/Party)			Certificate No. Form AHK ASEAN - HONG KONG, CHINA FREE TRADE AGREEMENT CERTIFICATE OF ORIGIN (Combined Declaration and Certificate) Issued in (Country/Party) (see Overleaf Notes)		
2. Goods Consigned to (Consignee's name, address and Country/Party)					
3. Means of transport and route (as far as known) Shipment Date: Vessel's name/Aircraft etc.: Port of Discharge:			4. For Official Use <input type="checkbox"/> Preferential Treatment Given Under ASEAN - Hong Kong, China Free Trade Agreement <input type="checkbox"/> Preferential Treatment Not Given (Please state Reason(s)) Signature of Authorised Signatory of the Importing Country/Party		
5. Item number	6. Marks and numbers on packages	7. Number and kind of packages; description of goods including HS Code (6 digits); and, if applicable, brand name. Names and country/party of the company issuing third party invoice, if applicable.	8. Origin - Conferring Criterion (see Overleaf Notes)	9. Quantity (Gross or Net weight or other measurement) and value (FOB) where RVC is applied (see Overleaf Notes)	10. Invoice Number(s) and date of invoice(s)
11. Declaration by the exporter The undersigned hereby declares that the above details and statements are correct; and that all the goods were produced in (Country/Party of origin) and that they comply with the rules of origin, as provided in Chapter 3 (Rules of Origin) of the ASEAN-Hong Kong, China Free Trade Agreement for the goods exported to (Importing Country/Party) Place and date, signature of authorised signatory			12. Certification On the basis of control carried out, it is hereby certified that the information herein is correct and that the goods described herein comply with the origin requirements specified in the ASEAN-Hong Kong, China Free Trade Agreement. Place and date, signature and name, stamp of authorised issuing authority		
13. <input type="checkbox"/> Movement Confirmation <input type="checkbox"/> Third-party invoicing <input type="checkbox"/> Issued retroactively <input type="checkbox"/> De Minimis <input type="checkbox"/> Accumulation <input type="checkbox"/> Exhibitions					

OVERLEAF NOTES

1. **Countries/Parties which accept this form for the purpose of preferential treatment under the ASEAN-Hong Kong, China Free Trade Agreement (the Agreement):**

Brunei Darussalam	Cambodia	Hong Kong, China	Indonesia
Malaysia	Myanmar	Philippines	Singapore
			Lao PDR
			Thailand
			Viet Nam

 (herein after individually referred to as a Country/Party)
2. **CONDITIONS:** To be eligible for the preferential treatment under the Agreement, goods must:
 - a. Fall within a description of products eligible for concessions in the importing Country/Party;
 - b. Comply with all relevant provisions of Chapter 3 (Rules of Origin) of the Agreement.
3. **EXPORTER AND CONSIGNEE:** Details of the exporter of the goods (including name, address, and Country/Party) and consignee (name, and address and Country/Party) must be provided in Box 1 and Box 2, respectively.
4. **DESCRIPTION OF GOODS:** The description of each good in Box 7 must include the Harmonized Commodity Description and Coding System (HS) subheading at the 6-digit level of the exported product, and if applicable, product number, product name and brand name. The description of products must be sufficiently detailed to enable the products to be identified by the Customs Officers examining them. Name of manufacturer and any trade mark shall also be specified.
5. **ORIGIN CRITERIA:** For the goods that meet the origin criteria, the exporter should indicate in Box 8 of this Form, the origin criteria met, in the manner shown in the following table:

Circumstances of production or manufacture in the Country/ Party named in Box 11 of this form:	Insert in Box 8
(a) Goods wholly obtained or produced in the Area of a Country/ Party	WO
(b) Goods produced in a Party exclusively from originating materials from one or more of the Countries/ Parties	PE
(c) Goods satisfying Article 5 (Not Wholly Obtained or Produced Goods) of Chapter 3 (Rules of Origin) of the Agreement <ul style="list-style-type: none"> • Regional Value Content • Change in Tariff Classification (CTC) • Specific Processes • Combination Criteria 	Percentage of Regional Value Content, example "40%" The actual CTC rule, example "CC" or "CTH" or "CTSH" SP The actual combination criterion, example "CTSH + 35%"

6. **EACH GOOD CLAIMING PREFERENTIAL TARIFF TREATMENT MUST QUALIFY IN ITS OWN RIGHT:** It should be noted that all the goods in a consignment must qualify separately in their own right. This is of particular relevance when similar articles of different sizes or spare parts are exported.
7. **FOB value:** In the cases where the Regional Value Content (RVC) criterion is applied, an exporter needs to indicate in Box 9 the FOB value of the goods, except if the goods are exported from an ASEAN Member State to Hong Kong, China.
8. **INVOICES:** Indicate the invoice number and date of invoice(s). The invoice should be the one issued for the importation of the good into the importing Country/Party.
9. **THIRD PARTY INVOICING:** In cases where invoices used for the importation are issued by a company located in a third party or by an exporter for the account of the said company, in accordance with Rule 22 (Third Party Invoicing) of Annex 3-1 (Operational Certification Procedures) to Chapter 3 (Rules of Origin) of the Agreement, the "Third party invoicing" box in Box 13 should be ticked (✓) and the name and country/party of the company issuing the invoice should be provided in Box 7, or if there is insufficient space, on a continuation sheet as appropriate. The number of the invoices issued by the manufacturers or the exporters and the number of the invoices issued by the trader (if known) for the importation of goods into the importing Country/Party should be indicated in Box 10.
10. **CERTIFIED TRUE COPY:** In case of a certified true copy, the words "CERTIFIED TRUE COPY" should be written or stamped on Box 12 of the Certificate with the date of issuance of the copy in accordance with Rule 11 (Loss of the Certificate of Origin (Form AHK)) of Annex 3-1 (Operational Certification Procedures) to Chapter 3 (Rules of Origin) of the Agreement.
11. **BOX 13:** The items in Box 13 should be ticked (✓), as appropriate, in those cases where such items are relevant to the goods covered by the Certificate.
12. **MOVEMENT CONFIRMATION:** In the case of a Movement Confirmation issued in accordance with Rule 10 (Movement Confirmation) of Annex 3-1 (Operational Certification Procedures) to Chapter 3 (Rules of Origin) of the Agreement, the "Movement Confirmation" box in Box 13 should be ticked (✓).
13. **ISSUED RETROACTIVELY:** In exceptional cases, due to involuntary errors or omissions or other valid causes, the Certificate of Origin (Form AHK) may be issued retroactively, in accordance with paragraph 2 of Rule 9 (Issuance of the Certification of Origin (Form AHK)) of Annex 3-1 (Operational Certification Procedures) to Chapter 3 (Rules of Origin) of the Agreement, the "Issued Retroactively" box in Box 13 should be ticked (✓).
14. **ACCUMULATION:** In cases where a good originating in a Party is used in another Party as material for a finished good, in accordance with Article 7 (Accumulation) of Chapter 3 (Rules of Origin) of the Agreement, the "Accumulation" box in Box 13 should be ticked (✓).
15. **DE MINIMIS:** If the value of all non-originating materials used in the production of a good that do not undergo the required change in tariff classification does not exceed ten percent (10%) of the FOB value of the good, in accordance with Article 10 (De Minimis) of Chapter 3 (Rules of Origin) of the Agreement, the "De Minimis" box in Box 13 should be ticked (✓).
16. **EXHIBITIONS:** In cases where goods are sent from the exporting Party for exhibition in another Party and sold during or after the exhibition for importation into a Party, in accordance with Rule 21 (Exhibition Goods) of Annex 3-1 (Operational Certification Procedures) to Chapter 3 (Rules of Origin) of the Agreement, the "Exhibitions" box in Box 13 should be ticked (✓) and the name and address of the exhibition indicated in Box 2.
17. **FOR OFFICIAL USE:** The customs authority of the Importing Country/Party must indicate (✓) in the relevant box in Box 4 whether or not preferential tariff treatment under this Agreement is accorded.

1. Goods Consigned from (Exporter's name, address and Country/Party)			Certificate No.			Form AHK		
2. Goods Consigned to (Consignee's name, address and Country/Party)			ASEAN - HONG KONG, CHINA FREE TRADE AGREEMENT CERTIFICATE OF ORIGIN (Combined Declaration and Certificate)					
3. Means of transport and route (as far as known) Shipment Date: Vessel's name/Aircraft etc.: Port of Discharge:			4. For Official Use <input type="checkbox"/> Preferential Treatment Given Under ASEAN - Hong Kong, China Free Trade Agreement <input type="checkbox"/> Preferential Treatment Not Given (Please state Reason(s)) Signature of Authorised Signatory of the Importing Country/Party					
5. Item number	6. Marks and numbers on packages	7. Number and kind of packages; description of goods including HS Code (6 digits); and, if applicable, brand name. Names and country/party of the company issuing third party invoice, if applicable.	8. Origin - Conferring Criterion (see Overleaf Notes)	9. Quantity (Gross or Net weight or other measurement) and value (FOB) where RVC is applied (see Overleaf Notes)	10. Invoice Number(s) and date of invoice(s)			
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13. <input type="checkbox"/> Movement Confirmation <input type="checkbox"/> Third-party invoicing <input type="checkbox"/> Issued retroactively <input type="checkbox"/> De Minimis <input type="checkbox"/> Accumulation <input type="checkbox"/> Exhibitions								

OVERLEAF NOTES

1. **Countries/Parties which accept this form for the purpose of preferential treatment under the ASEAN-Hong Kong, China Free Trade Agreement (the Agreement):**

Brunei Darussalam	Cambodia	Hong Kong, China	Indonesia
Malaysia	Myanmar	Philippines	Singapore
			Lao PDR
			Thailand
			Viet Nam

 (herein after individually referred to as a Country/Party)
2. **CONDITIONS:** To be eligible for the preferential treatment under the Agreement, goods must:
 - a. Fall within a description of products eligible for concessions in the importing Country/Party;
 - b. Comply with all relevant provisions of Chapter 3 (Rules of Origin) of the Agreement.
3. **EXPORTER AND CONSIGNEE:** Details of the exporter of the goods (including name, address, and Country/Party) and consignee (name, and address and Country/Party) must be provided in Box 1 and Box 2, respectively.
4. **DESCRIPTION OF GOODS:** The description of each good in Box 7 must include the Harmonized Commodity Description and Coding System (HS) subheading at the 6-digit level of the exported product, and if applicable, product number, product name and brand name. The description of products must be sufficiently detailed to enable the products to be identified by the Customs Officers examining them. Name of manufacturer and any trade mark shall also be specified.
5. **ORIGIN CRITERIA:** For the goods that meet the origin criteria, the exporter should indicate in Box 8 of this Form, the origin criteria met, in the manner shown in the following table:

Circumstances of production or manufacture in the Country/ Party named in Box 11 of this form:	Insert in Box 8
(a) Goods wholly obtained or produced in the Area of a Country/ Party	WO
(b) Goods produced in a Party exclusively from originating materials from one or more of the Countries/ Parties	PE
(c) Goods satisfying Article 5 (Not Wholly Obtained or Produced Goods) of Chapter 3 (Rules of Origin) of the Agreement <ul style="list-style-type: none"> • Regional Value Content • Change in Tariff Classification (CTC) • Specific Processes • Combination Criteria 	Percentage of Regional Value Content, example "40%" The actual CTC rule, example "CC" or "CTH" or "CTSH" SP The actual combination criterion, example "CTSH + 35%"

6. **EACH GOOD CLAIMING PREFERENTIAL TARIFF TREATMENT MUST QUALIFY IN ITS OWN RIGHT:** It should be noted that all the goods in a consignment must qualify separately in their own right. This is of particular relevance when similar articles of different sizes or spare parts are exported.
7. **FOB value:** In the cases where the Regional Value Content (RVC) criterion is applied, an exporter needs to indicate in Box 9 the FOB value of the goods, except if the goods are exported from an ASEAN Member State to Hong Kong, China.
8. **INVOICES:** Indicate the invoice number and date of invoice(s). The invoice should be the one issued for the importation of the good into the importing Country/Party.
9. **THIRD PARTY INVOICING:** In cases where invoices used for the importation are issued by a company located in a third party or by an exporter for the account of the said company, in accordance with Rule 22 (Third Party Invoicing) of Annex 3-1 (Operational Certification Procedures) to Chapter 3 (Rules of Origin) of the Agreement, the "Third party invoicing" box in Box 13 should be ticked (✓) and the name and country/party of the company issuing the invoice should be provided in Box 7, or if there is insufficient space, on a continuation sheet as appropriate. The number of the invoices issued by the manufacturers or the exporters and the number of the invoices issued by the trader (if known) for the importation of goods into the importing Country/Party should be indicated in Box 10.
10. **CERTIFIED TRUE COPY:** In case of a certified true copy, the words "CERTIFIED TRUE COPY" should be written or stamped on Box 12 of the Certificate with the date of issuance of the copy in accordance with Rule 11 (Loss of the Certificate of Origin (Form AHK)) of Annex 3-1 (Operational Certification Procedures) to Chapter 3 (Rules of Origin) of the Agreement.
11. **BOX 13:** The items in Box 13 should be ticked (✓), as appropriate, in those cases where such items are relevant to the goods covered by the Certificate.
12. **MOVEMENT CONFIRMATION:** In the case of a Movement Confirmation issued in accordance with Rule 10 (Movement Confirmation) of Annex 3-1 (Operational Certification Procedures) to Chapter 3 (Rules of Origin) of the Agreement, the "Movement Confirmation" box in Box 13 should be ticked (✓).
13. **ISSUED RETROACTIVELY:** In exceptional cases, due to involuntary errors or omissions or other valid causes, the Certificate of Origin (Form AHK) may be issued retroactively, in accordance with paragraph 2 of Rule 9 (Issuance of the Certification of Origin (Form AHK)) of Annex 3-1 (Operational Certification Procedures) to Chapter 3 (Rules of Origin) of the Agreement, the "Issued Retroactively" box in Box 13 should be ticked (✓).
14. **ACCUMULATION:** In cases where a good originating in a Party is used in another Party as material for a finished good, in accordance with Article 7 (Accumulation) of Chapter 3 (Rules of Origin) of the Agreement, the "Accumulation" box in Box 13 should be ticked (✓).
15. **DE MINIMIS:** If the value of all non-originating materials used in the production of a good that do not undergo the required change in tariff classification does not exceed ten percent (10%) of the FOB value of the good, in accordance with Article 10 (De Minimis) of Chapter 3 (Rules of Origin) of the Agreement, the "De Minimis" box in Box 13 should be ticked (✓).
16. **EXHIBITIONS:** In cases where goods are sent from the exporting Party for exhibition in another Party and sold during or after the exhibition for importation into a Party, in accordance with Rule 21 (Exhibition Goods) of Annex 3-1 (Operational Certification Procedures) to Chapter 3 (Rules of Origin) of the Agreement, the "Exhibitions" box in Box 13 should be ticked (✓) and the name and address of the exhibition indicated in Box 2.
17. **FOR OFFICIAL USE:** The customs authority of the Importing Country/Party must indicate (✓) in the relevant box in Box 4 whether or not preferential tariff treatment under this Agreement is accorded.

1. Goods Consigned from (Exporter's name, address and Country/Party)			Certificate No. Form AHK <div style="text-align: center;"> ASEAN - HONG KONG, CHINA FREE TRADE AGREEMENT CERTIFICATE OF ORIGIN (Combined Declaration and Certificate) </div> <div style="text-align: center;"> Issued in (Country/Party) (see Overleaf Notes) </div>		
2. Goods Consigned to (Consignee's name, address and Country/Party)			4. For Official Use <input type="checkbox"/> Preferential Treatment Given Under ASEAN - Hong Kong, China Free Trade Agreement <hr style="width: 80%; margin-left: 0;"/> <input type="checkbox"/> Preferential Treatment Not Given (Please state Reason(s)) <hr style="width: 80%; margin-left: 0;"/> Signature of Authorised Signatory of the Importing Country/Party		
3. Means of transport and route (as far as known) Shipment Date: Vessel's name/Aircraft etc.: Port of Discharge:					
5. Item number	6. Marks and numbers on packages	7. Number and kind of packages; description of goods including HS Code (6 digits); and, if applicable, brand name. Names and country/party of the company issuing third party invoice, if applicable.			
11. Declaration by the exporter The undersigned hereby declares that the above details and statements are correct; and that all the goods were produced in (Country/Party of origin) and that they comply with the rules of origin, as provided in Chapter 3 (Rules of Origin) of the ASEAN-Hong Kong, China Free Trade Agreement for the goods exported to (Importing Country/Party) Place and date, signature of authorised signatory			12. Certification On the basis of control carried out, it is hereby certified that the information herein is correct and that the goods described herein comply with the origin requirements specified in the ASEAN-Hong Kong, China Free Trade Agreement. Place and date, signature and name, stamp of authorised issuing authority		
13. <input type="checkbox"/> Movement Confirmation <input type="checkbox"/> Third-party invoicing <input type="checkbox"/> Issued retroactively <input type="checkbox"/> De Minimis <input type="checkbox"/> Accumulation <input type="checkbox"/> Exhibitions					

OVERLEAF NOTES

1. **Countries/Parties which accept this form for the purpose of preferential treatment under the ASEAN-Hong Kong, China Free Trade Agreement (the Agreement):**

Brunei Darussalam	Cambodia	Hong Kong, China	Indonesia
Malaysia	Myanmar	Philippines	Singapore
			Lao PDR
			Thailand
			Viet Nam

 (herein after individually referred to as a Country/Party)
2. **CONDITIONS:** To be eligible for the preferential treatment under the Agreement, goods must:
 - a. Fall within a description of products eligible for concessions in the importing Country/Party;
 - b. Comply with all relevant provisions of Chapter 3 (Rules of Origin) of the Agreement.
3. **EXPORTER AND CONSIGNEE:** Details of the exporter of the goods (including name, address, and Country/Party) and consignee (name, and address and Country/Party) must be provided in Box 1 and Box 2, respectively.
4. **DESCRIPTION OF GOODS:** The description of each good in Box 7 must include the Harmonized Commodity Description and Coding System (HS) subheading at the 6-digit level of the exported product, and if applicable, product number, product name and brand name. The description of products must be sufficiently detailed to enable the products to be identified by the Customs Officers examining them. Name of manufacturer and any trade mark shall also be specified.
5. **ORIGIN CRITERIA:** For the goods that meet the origin criteria, the exporter should indicate in Box 8 of this Form, the origin criteria met, in the manner shown in the following table:

Circumstances of production or manufacture in the Country/ Party named in Box 11 of this form:	Insert in Box 8
(a) Goods wholly obtained or produced in the Area of a Country/ Party	WO
(b) Goods produced in a Party exclusively from originating materials from one or more of the Countries/ Parties	PE
(c) Goods satisfying Article 5 (Not Wholly Obtained or Produced Goods) of Chapter 3 (Rules of Origin) of the Agreement <ul style="list-style-type: none"> • Regional Value Content • Change in Tariff Classification (CTC) • Specific Processes • Combination Criteria 	Percentage of Regional Value Content, example "40%" The actual CTC rule, example "CC" or "CTH" or "CTSH" SP The actual combination criterion, example "CTSH + 35%"

6. **EACH GOOD CLAIMING PREFERENTIAL TARIFF TREATMENT MUST QUALIFY IN ITS OWN RIGHT:** It should be noted that all the goods in a consignment must qualify separately in their own right. This is of particular relevance when similar articles of different sizes or spare parts are exported.
7. **FOB value:** In the cases where the Regional Value Content (RVC) criterion is applied, an exporter needs to indicate in Box 9 the FOB value of the goods, except if the goods are exported from an ASEAN Member State to Hong Kong, China.
8. **INVOICES:** Indicate the invoice number and date of invoice(s). The invoice should be the one issued for the importation of the good into the importing Country/Party.
9. **THIRD PARTY INVOICING:** In cases where invoices used for the importation are issued by a company located in a third party or by an exporter for the account of the said company, in accordance with Rule 22 (Third Party Invoicing) of Annex 3-1 (Operational Certification Procedures) to Chapter 3 (Rules of Origin) of the Agreement, the "Third party invoicing" box in Box 13 should be ticked (✓) and the name and country/party of the company issuing the invoice should be provided in Box 7, or if there is insufficient space, on a continuation sheet as appropriate. The number of the invoices issued by the manufacturers or the exporters and the number of the invoices issued by the trader (if known) for the importation of goods into the importing Country/Party should be indicated in Box 10.
10. **CERTIFIED TRUE COPY:** In case of a certified true copy, the words "CERTIFIED TRUE COPY" should be written or stamped on Box 12 of the Certificate with the date of issuance of the copy in accordance with Rule 11 (Loss of the Certificate of Origin (Form AHK)) of Annex 3-1 (Operational Certification Procedures) to Chapter 3 (Rules of Origin) of the Agreement.
11. **BOX 13:** The items in Box 13 should be ticked (✓), as appropriate, in those cases where such items are relevant to the goods covered by the Certificate.
12. **MOVEMENT CONFIRMATION:** In the case of a Movement Confirmation issued in accordance with Rule 10 (Movement Confirmation) of Annex 3-1 (Operational Certification Procedures) to Chapter 3 (Rules of Origin) of the Agreement, the "Movement Confirmation" box in Box 13 should be ticked (✓).
13. **ISSUED RETROACTIVELY:** In exceptional cases, due to involuntary errors or omissions or other valid causes, the Certificate of Origin (Form AHK) may be issued retroactively, in accordance with paragraph 2 of Rule 9 (Issuance of the Certification of Origin (Form AHK)) of Annex 3-1 (Operational Certification Procedures) to Chapter 3 (Rules of Origin) of the Agreement, the "Issued Retroactively" box in Box 13 should be ticked (✓).
14. **ACCUMULATION:** In cases where a good originating in a Party is used in another Party as material for a finished good, in accordance with Article 7 (Accumulation) of Chapter 3 (Rules of Origin) of the Agreement, the "Accumulation" box in Box 13 should be ticked (✓).
15. **DE MINIMIS:** If the value of all non-originating materials used in the production of a good that do not undergo the required change in tariff classification does not exceed ten percent (10%) of the FOB value of the good, in accordance with Article 10 (De Minimis) of Chapter 3 (Rules of Origin) of the Agreement, the "De Minimis" box in Box 13 should be ticked (✓).
16. **EXHIBITIONS:** In cases where goods are sent from the exporting Party for exhibition in another Party and sold during or after the exhibition for importation into a Party, in accordance with Rule 21 (Exhibition Goods) of Annex 3-1 (Operational Certification Procedures) to Chapter 3 (Rules of Origin) of the Agreement, the "Exhibitions" box in Box 13 should be ticked (✓) and the name and address of the exhibition indicated in Box 2.
17. **FOR OFFICIAL USE:** The customs authority of the Importing Country/Party must indicate (✓) in the relevant box in Box 4 whether or not preferential tariff treatment under this Agreement is accorded.

1. Goods consigned from (Exporter's business name, address, country)			Reference No. ASEAN-INDIA FREE TRADE AREA PREFERENTIAL TARIFF CERTIFICATE OF ORIGIN (Combined Declaration and Certificate) FORM AI Issued in <u>VIET NAM</u> (Country) See Notes Overleaf		
2. Goods consigned to (Consignee's name, address, country)					
3. Means of transport and route (as far as known) Departure date Vessel's name/Aircraft etc. Port of Discharge			4. For Official Use <input type="checkbox"/> Preferential Tariff Treatment Given Under ASEAN-India Free Trade Area Preferential Tariff <hr/> <input type="checkbox"/> Preferential Tariff Treatment Not Given (Please state reason/s) <hr/> Signature of Authorised Signatory of the Importing Country		
5. Item number	6. Marks and numbers on packages	7. Number and type of packages, description of goods (including quantity where appropriate and HS number of the importing country)	8. Origin criterion (see Notes Overleaf)	9. Gross weight or other quantity and value (FOB)	10. Number and date of invoices
11. Declaration by the exporter The undersigned hereby declares that the above details and statement are correct; that all the goods were produced in (Country) and that they comply with the origin requirements specified for these goods in the ASEAN-INDIA Free Trade Area Preferential Tariff for the goods exported to (Importing Country) Place and date, signature of authorised signatory			12. Certification It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct. Place and date, signature and stamp of certifying authority		
13. Where appropriate please tick: <input type="checkbox"/> Third Country Invoicing <input type="checkbox"/> Exhibition <input type="checkbox"/> Back-to-Back CO <input type="checkbox"/> Cumulation					

OVERLEAF NOTES

1. Member States which accept this form for the purpose of preferential treatment under the ASEAN Trade in Goods Agreement (ATIGA):

BRUNEI DARUSSALAM
INDIA
MYANMAR
THAILAND

CAMBODIA
LAO PDR
PHILIPPINES
VIETNAM

INDONESIA
MALAYSIA
SINGAPORE

2. CONDITIONS: To enjoy preferential tariff under the AIFTA, goods sent to any Parties listed above:

- (i) must fall within a description of goods eligible for concessions in the Party of destination;
- (ii) must comply with the consignment conditions in accordance with Rule 8 the AIFTA Rules of Origin; and
- (iii) must comply with the origin criteria in the AIFTA Rules of Origin.

3. ORIGIN CRITERIA: For goods that meet the origin criteria, the exporter and/or producer must indicate in Box 8 of this Form, the origin criteria met, in the manner shown in the following table:

Circumstances of production or manufacture in the first country named in Box 11 of this form	Insert in Box 8
(a) Goods wholly obtained or produced in the territory of the expoting Party	"WO"
(b) Goods satisfying Rule 4 (Not Wholly Produced or Obtained Products) of the AIFTA Rules of Origin	"RVC []%+CTSH"
(c) Goods satisfying Rule 6 (Product Specific Rules) of the AIFTA Rules of Origin	Appropriate qualifying criteria

4. EACH ARTICLE MUST QUALIFY: It should be noted that all the goods in a consignment must qualify separately in their own right. This is of particular relevance when similar articles of different sizes or spare parts are sent.
5. DESCRIPTION OF PRODUCTS: The description of products must be sufficiently detailed to enable the products to be identified by the Customs Officers examining them. Name of manufacturer and any trade mark shall also be specified.
6. HARMONISED SYSTEM NUMBER: The Harmonised System number shall be that of the importing Party.
7. EXPORTER: The term "Exporter" in Box 11 may include the manufacturer or the producer.
8. FOR OFFICIAL USE: The Customs Authority of the importing Party must indicate (✓) in the relevant boxes in column 4 whether or not preferential tariff is accorded.
9. THIRD COUNTRY INVOICING: In cases where invoices are issued by a third country, "Third Country Invoicing" in Box 13 should be ticked (✓) and such information as name and country of the company issuing the invoice shall be indicated in Box 7.
10. EXHIBITIONS: In cases where goods are sent from the territory of the exporting Party for exhibition in another country and sold during or after the exhibition for importation into the territory of a Party, in accordance with Article 21 of the Operational Certification Procedures, the "Exhibitions" in Box 13 should be ticked (✓) and the name and address of the exhibition indicated in Box 2.
11. BACK-TO-BACK CERTIFICATE OF ORIGIN: In cases of Back-to-Back CO, in accordance with Article 11 of the Operational Certification Procedures, "Back-to-Back CO" in Box 13 should be ticked (✓). The name of original exporting Party to be indicated in Box 11 and the date of the issuance of CO and the reference number will be indicated in Box 7.

1. Goods consigned from (Exporter's business name, address, country)			Reference No. ASEAN-INDIA FREE TRADE AREA PREFERENTIAL TARIFF CERTIFICATE OF ORIGIN (Combined Declaration and Certificate) FORM AI Issued in <u>VIET NAM</u> (Country) See Notes Overleaf		
2. Goods consigned to (Consignee's name, address, country)			4. For Official Use <input type="checkbox"/> Preferential Tariff Treatment Given Under ASEAN-India Free Trade Area Preferential Tariff <hr style="width: 80%; margin-left: 0;"/> <input type="checkbox"/> Preferential Tariff Treatment Not Given (Please state reason/s) <hr style="width: 80%; margin-left: 0;"/> Signature of Authorised Signatory of the Importing Country		
3. Means of transport and route (as far as known) Departure date Vessel's name/Aircraft etc. Port of Discharge					
5. Item number	6. Marks and numbers on packages	7. Number and type of packages, description of goods (including quantity where appropriate and HS number of the importing country)	8. Origin criterion (see Notes Overleaf)	9. Gross weight or other quantity and value (FOB)	10. Number and date of invoices
11. Declaration by the exporter The undersigned hereby declares that the above details and statement are correct; that all the goods were produced in (Country) and that they comply with the origin requirements specified for these goods in the ASEAN-INDIA Free Trade Area Preferential Tariff for the goods exported to (Importing Country) Place and date, signature of authorised signatory			12. Certification It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct. Place and date, signature and stamp of certifying authority		
13. Where appropriate please tick: <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <input type="checkbox"/> Third Country Invoicing <input type="checkbox"/> Exhibition <input type="checkbox"/> Back-to-Back CO <input type="checkbox"/> Cumulation </div>					

OVERLEAF NOTES

1. Member States which accept this form for the purpose of preferential treatment under the ASEAN Trade in Goods Agreement (ATIGA):

BRUNEI DARUSSALAM
INDIA
MYANMAR
THAILAND

CAMBODIA
LAO PDR
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2. CONDITIONS: To enjoy preferential tariff under the AIFTA, goods sent to any Parties listed above:

- (i) must fall within a description of goods eligible for concessions in the Party of destination;
- (ii) must comply with the consignment conditions in accordance with Rule 8 the AIFTA Rules of Origin; and
- (iii) must comply with the origin criteria in the AIFTA Rules of Origin.

3. ORIGIN CRITERIA: For goods that meet the origin criteria, the exporter and/or producer must indicate in Box 8 of this Form, the origin criteria met, in the manner shown in the following table:

Circumstances of production or manufacture in the first country named in Box 11 of this form	Insert in Box 8
(a) Goods wholly obtained or produced in the territory of the expoting Party	"WO"
(b) Goods satisfying Rule 4 (Not Wholly Produced or Obtained Products) of the AIFTA Rules of Origin	"RVC []%+CTSH"
(c) Goods satisfying Rule 6 (Product Specific Rules) of the AIFTA Rules of Origin	Appropriate qualifying criteria

4. EACH ARTICLE MUST QUALIFY: It should be noted that all the goods in a consignment must qualify separately in their own right. This is of particular relevance when similar articles of different sizes or spare parts are sent.
5. DESCRIPTION OF PRODUCTS: The description of products must be sufficiently detailed to enable the products to be identified by the Customs Officers examining them. Name of manufacturer and any trade mark shall also be specified.
6. HARMONISED SYSTEM NUMBER: The Harmonised System number shall be that of the importing Party.
7. EXPORTER: The term "Exporter" in Box 11 may include the manufacturer or the producer.
8. FOR OFFICIAL USE: The Customs Authority of the importing Party must indicate (✓) in the relevant boxes in column 4 whether or not preferential tariff is accorded.
9. THIRD COUNTRY INVOICING: In cases where invoices are issued by a third country, "Third Country Invoicing" in Box 13 should be ticked (✓) and such information as name and country of the company issuing the invoice shall be indicated in Box 7.
10. EXHIBITIONS: In cases where goods are sent from the territory of the exporting Party for exhibition in another country and sold during or after the exhibition for importation into the territory of a Party, in accordance with Article 21 of the Operational Certification Procedures, the "Exhibitions" in Box 13 should be ticked (✓) and the name and address of the exhibition indicated in Box 2.
11. BACK-TO-BACK CERTIFICATE OF ORIGIN: In cases of Back-to-Back CO, in accordance with Article 11 of the Operational Certification Procedures, "Back-to-Back CO" in Box 13 should be ticked (✓). The name of original exporting Party to be indicated in Box 11 and the date of the issuance of CO and the reference number will be indicated in Box 7.

1. Goods consigned from (Exporter's business name, address, country)			Reference No. ASEAN-INDIA FREE TRADE AREA PREFERENTIAL TARIFF CERTIFICATE OF ORIGIN (Combined Declaration and Certificate) FORM AI Issued in <u>VIET NAM</u> (Country) See Notes Overleaf		
2. Goods consigned to (Consignee's name, address, country)			4. For Official Use <input type="checkbox"/> Preferential Tariff Treatment Given Under ASEAN-India Free Trade Area Preferential Tariff <hr/> <input type="checkbox"/> Preferential Tariff Treatment Not Given (Please state reason/s) <hr/> Signature of Authorised Signatory of the Importing Country		
3. Means of transport and route (as far as known) Departure date Vessel's name/Aircraft etc. Port of Discharge					
5. Item number	6. Marks and numbers on packages	7. Number and type of packages, description of goods (including quantity where appropriate and HS number of the importing country)	8. Origin criterion (see Notes Overleaf)	9. Gross weight or other quantity and value (FOB)	10. Number and date of invoices
11. Declaration by the exporter The undersigned hereby declares that the above details and statement are correct; that all the goods were produced in (Country) and that they comply with the origin requirements specified for these goods in the ASEAN-INDIA Free Trade Area Preferential Tariff for the goods exported to (Importing Country) Place and date, signature of authorised signatory			12. Certification It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct. Place and date, signature and stamp of certifying authority		
13. Where appropriate please tick: <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <input type="checkbox"/> Third Country Invoicing <input type="checkbox"/> Exhibition <input type="checkbox"/> Back-to-Back CO <input type="checkbox"/> Cumulation </div>					

OVERLEAF NOTES

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2. CONDITIONS: To enjoy preferential tariff under the AIFTA, goods sent to any Parties listed above:

- (i) must fall within a description of goods eligible for concessions in the Party of destination;
- (ii) must comply with the consignment conditions in accordance with Rule 8 the AIFTA Rules of Origin; and
- (iii) must comply with the origin criteria in the AIFTA Rules of Origin.

3. ORIGIN CRITERIA: For goods that meet the origin criteria, the exporter and/or producer must indicate in Box 8 of this Form, the origin criteria met, in the manner shown in the following table:

Circumstances of production or manufacture in the first country named in Box 11 of this form	Insert in Box 8
(a) Goods wholly obtained or produced in the territory of the expoting Party	"WO"
(b) Goods satisfying Rule 4 (Not Wholly Produced or Obtained Products) of the AIFTA Rules of Origin	"RVC []%+CTSH"
(c) Goods satisfying Rule 6 (Product Specific Rules) of the AIFTA Rules of Origin	Appropriate qualifying criteria

4. EACH ARTICLE MUST QUALIFY: It should be noted that all the goods in a consignment must qualify separately in their own right. This is of particular relevance when similar articles of different sizes or spare parts are sent.
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6. HARMONISED SYSTEM NUMBER: The Harmonised System number shall be that of the importing Party.
7. EXPORTER: The term "Exporter" in Box 11 may include the manufacturer or the producer.
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11. BACK-TO-BACK CERTIFICATE OF ORIGIN: In cases of Back-to-Back CO, in accordance with Article 11 of the Operational Certification Procedures, "Back-to-Back CO" in Box 13 should be ticked (✓). The name of original exporting Party to be indicated in Box 11 and the date of the issuance of CO and the reference number will be indicated in Box 7.

1. Goods consigned from (Exporter's business name, address, country)			Reference No. ASEAN-INDIA FREE TRADE AREA PREFERENTIAL TARIFF CERTIFICATE OF ORIGIN (Combined Declaration and Certificate) FORM AI Issued in <u>VIET NAM</u> (Country) See Notes Overleaf		
2. Goods consigned to (Consignee's name, address, country)			4. For Official Use <input type="checkbox"/> Preferential Tariff Treatment Given Under ASEAN-India Free Trade Area Preferential Tariff <hr/> <input type="checkbox"/> Preferential Tariff Treatment Not Given (Please state reason/s) <hr/> Signature of Authorised Signatory of the Importing Country		
3. Means of transport and route (as far as known) Departure date Vessel's name/Aircraft etc. Port of Discharge					
5. Item number	6. Marks and numbers on packages	7. Number and type of packages, description of goods (including quantity where appropriate and HS number of the importing country)	8. Origin criterion (see Notes Overleaf)	9. Gross weight or other quantity and value (FOB)	10. Number and date of invoices
11. Declaration by the exporter The undersigned hereby declares that the above details and statement are correct; that all the goods were produced in (Country) and that they comply with the origin requirements specified for these goods in the ASEAN-INDIA Free Trade Area Preferential Tariff for the goods exported to (Importing Country) Place and date, signature of authorised signatory			12. Certification It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct. Place and date, signature and stamp of certifying authority		
13. Where appropriate please tick: <div style="display: flex; justify-content: space-between; margin-top: 5px;"> <input type="checkbox"/> Third Country Invoicing <input type="checkbox"/> Exhibition <input type="checkbox"/> Back-to-Back CO <input type="checkbox"/> Cumulation </div>					

OVERLEAF NOTES

1. Member States which accept this form for the purpose of preferential treatment under the ASEAN Trade in Goods Agreement (ATIGA):

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2. CONDITIONS: To enjoy preferential tariff under the AIFTA, goods sent to any Parties listed above:

- (i) must fall within a description of goods eligible for concessions in the Party of destination;
- (ii) must comply with the consignment conditions in accordance with Rule 8 the AIFTA Rules of Origin; and
- (iii) must comply with the origin criteria in the AIFTA Rules of Origin.

3. ORIGIN CRITERIA: For goods that meet the origin criteria, the exporter and/or producer must indicate in Box 8 of this Form, the origin criteria met, in the manner shown in the following table:

Circumstances of production or manufacture in the first country named in Box 11 of this form	Insert in Box 8
(a) Goods wholly obtained or produced in the territory of the expoting Party	"WO"
(b) Goods satisfying Rule 4 (Not Wholly Produced or Obtained Products) of the AIFTA Rules of Origin	"RVC []%+CTSH"
(c) Goods satisfying Rule 6 (Product Specific Rules) of the AIFTA Rules of Origin	Appropriate qualifying criteria

4. EACH ARTICLE MUST QUALIFY: It should be noted that all the goods in a consignment must qualify separately in their own right. This is of particular relevance when similar articles of different sizes or spare parts are sent.
5. DESCRIPTION OF PRODUCTS: The description of products must be sufficiently detailed to enable the products to be identified by the Customs Officers examining them. Name of manufacturer and any trade mark shall also be specified.
6. HARMONISED SYSTEM NUMBER: The Harmonised System number shall be that of the importing Party.
7. EXPORTER: The term "Exporter" in Box 11 may include the manufacturer or the producer.
8. FOR OFFICIAL USE: The Customs Authority of the importing Party must indicate (✓) in the relevant boxes in column 4 whether or not preferential tariff is accorded.
9. THIRD COUNTRY INVOICING: In cases where invoices are issued by a third country, "Third Country Invoicing" in Box 13 should be ticked (✓) and such information as name and country of the company issuing the invoice shall be indicated in Box 7.
10. EXHIBITIONS: In cases where goods are sent from the territory of the exporting Party for exhibition in another country and sold during or after the exhibition for importation into the territory of a Party, in accordance with Article 21 of the Operational Certification Procedures, the "Exhibitions" in Box 13 should be ticked (✓) and the name and address of the exhibition indicated in Box 2.
11. BACK-TO-BACK CERTIFICATE OF ORIGIN: In cases of Back-to-Back CO, in accordance with Article 11 of the Operational Certification Procedures, "Back-to-Back CO" in Box 13 should be ticked (✓). The name of original exporting Party to be indicated in Box 11 and the date of the issuance of CO and the reference number will be indicated in Box 7.

1. Goods consigned from (Exporter's name, address, country)			Reference No. THE AGREEMENT ON COMPREHENSIVE ECONOMIC PARTNERSHIP AMONG MEMBER STATES OF THE ASSOCIATION OF SOUTHEAST ASIAN NATIONS AND JAPAN (AJCEP AGREEMENT) CERTIFICATE OF ORIGIN FORM AJ Issued in <u>VIET NAM</u> (Country) See Notes Overleaf		
2. Goods consigned to (Importer's/Consignee's name, address, country)					
3. Means of transport and route (as far as known) <div style="margin-top: 20px;">Shipment date</div> <div style="margin-top: 20px;">Vessel's name/Aircraft etc.</div> <div style="margin-top: 20px;">Port of discharge</div>			4. For Official Use <div style="margin-bottom: 20px;"> <input type="checkbox"/> Preferential Treatment Given Under AJCEP Agreement </div> <div> <input type="checkbox"/> Preferential Treatment Not Given (Please state reason/s) </div> <div style="margin-top: 20px;"> Signature of Authorised Signatory of the Importing Country </div>		
5. Item number	6. Marks and numbers of packages	7. Number and type of packages, description of goods (including quantity where appropriate and HS number of the importing Party)	8. Origin criteria (see Notes overleaf)	9. Gross weight or other quantity and value (FOB only when RVC criterion is used)	10. Number and date of invoices
11. Declaration by the exporter The undersigned hereby declares that the above details and statements are correct; that all the goods were produced in (Country) and that they comply with the requirements specified for these goods in the AJCEP Agreement for the goods exported to (Importing Country) Place and date, name, signature and company of authorised signatory			12. Certification It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct. Place and date, signature and stamp of certifying authority		
13. <input type="checkbox"/> Third Country Invoicing <input type="checkbox"/> Back-to-Back CO <input type="checkbox"/> Issued Retroactively					

OVERLEAF NOTES

1. The following countries shall use this form for the purpose of preferential tariff treatment under the Agreement on Comprehensive Economic Partnership among Member States of the Association of Southeast Asian Nations and Japan (AJCEP Agreement) :

BRUNEI DARUSSALAM	CAMBODIA	INDONESIA	LAOS	MALAYSIA
MYANMAR	PHILIPPINES	SINGAPORE	THAILAND	VIETNAM

2. CONDITIONS: To enjoy preferential tariff treatment under the AJCEP Agreement, goods exported to any of the Parties to the AJCEP Agreement should:

- (i) fall within a description of goods eligible for concessions in the importing Party;
- (ii) comply with the consignment conditions in accordance with Article 31 of the AJCEP Agreement; and
- (iii) comply with the origin criteria in Chapter 3 of the AJCEP Agreement.

3. ORIGIN CRITERIA: For goods that meet the origin criteria, the exporter should indicate in box 8 of this Form, the origin criteria met, in the manner shown in the following table:

Circumstances of production or manufacture in the country named in box 11 of this form:	Insert in box 8
(a) Goods satisfying subparagraph (c) of Article 24 of the AJCEP Agreement	"PE"
(b) Wholly obtained goods satisfying Article 25 of the AJCEP Agreement	"WO"
(c) Goods satisfying paragraph 1 of Article 26 of the AJCEP Agreement	"CTH" or "RVC"
(d) Goods satisfying paragraph 2 of Article 26 of the AJCEP Agreement <ul style="list-style-type: none"> - Change in Tariff Classification - Regional Value Content - Specific Processes 	"CTC" "RVC" "SP"
Also, exporters should indicate the following where applicable:	
(e) Goods which comply with Article 28 of the AJCEP Agreement	"DMI"
(f) Goods which comply with Article 29 of the AJCEP Agreement	"ACU"

4. EACH ITEM SHOULD QUALIFY: All items in a consignment should qualify separately in their own right. This is of particular relevance when similar items of different sizes are exported.
5. DESCRIPTION OF GOODS: For each good, the HS tariff classification number of the importing Party should be indicated at the six-digit level. The description of the good on a certificate of origin should be substantially identical to the description on the invoice and, if possible, to the description under the HS for the good. With respect to subheading 2208.90 and 9404.90, in an exceptional case where the good is a specific product requiring a special description (e.g. "sake compound and cooking sake (Mirin) of subheading 2208.90", "beverages with a basis of fruit, of an alcoholic strength by volume of less than 1% of subheading 2208.90" "quilts and eiderdowns of 9404.90"), such description of specific products should be indicated.
6. FREE-ON-BOARD (FOB) VALUE: The FOB value in Box 9 shall be reflected only when the Regional Value Content criterion is applied in determining the origin of goods. In the case of goods exported from and imported by Cambodia and Myanmar, the FOB value shall be included on the Certificate of Origin, irrespective of the origin criteria used, for 2 years upon the implementation of this new arrangement.
7. INVOICES: Indicate the invoice number and date for each item. The invoice should be the one issued for the importation of the good into the importing Party.
8. THIRD COUNTRY INVOICING: In cases where invoices are issued by a third country, in accordance with Rule 3 (d) of Implementing Regulations, the "Third Country Invoicing" box in box 13 should be ticked (✓). The number of invoices issued for the importation of goods into the importing Party should be indicated in box 10, and the full legal name and address of the company or person that issued the invoices shall be indicated in box 7.
- In an exceptional case where the invoice issued in a third country is not available at the time of issuance of the certificate of origin, the invoice number and the date of the invoice issued by the exporter to whom the certificate of origin is issued should be indicated in box 10. The "Third Country Invoicing" in box 13 should be ticked, and it should be indicated in box 7 that the goods will be subject to another invoice to be issued in a third country for the importation into the importing Party, identifying in box 7 the full legal name and address of the company or person that will issue another invoice in the third country. In such a case, the customs authority of the importing Party may require the importer to provide the invoices and any other relevant documents which confirm the transaction from the exporting Party to the importing Party, with regard to the goods declared for import.
9. BACK-TO-BACK CERTIFICATE OF ORIGIN: In the case of a back-to-back CO issued in accordance with paragraph 4 of Rule 3 of the Operational Certification Procedures, the "Back-to-Back CO" box in box 13 should be ticked (✓).
10. ISSUED RETROACTIVELY: In cases of a CO issued retroactively in accordance with Rule 7 of the Implementing Regulations, the "Issued Retroactively" box in box 13 should be ticked (✓).
11. CERTIFIED TRUE COPY: In cases of certified true copies, the words "CERTIFIED TRUE COPY" should be indicated in box 12 in accordance with Rule 5 of the Implementing Regulations.

1. Goods consigned from (Exporter's name, address, country)			<div>Reference No.</div> <div>THE AGREEMENT ON COMPREHENSIVE ECONOMIC PARTNERSHIP AMONG MEMBER STATES OF THE ASSOCIATION OF SOUTHEAST ASIAN NATIONS AND JAPAN (AJCEP AGREEMENT)</div> <div>CERTIFICATE OF ORIGIN</div> <div>FORM AJ</div> <div>Issued in VIET NAM (Country) See Notes Overleaf</div>		
2. Goods consigned to (Importer's/Consignee's name, address, country)					
3. Means of transport and route (as far as known)			4. For Official Use		
<div>Shipment date</div> <div>Vessel's name/Aircraft etc.</div> <div>Port of discharge</div>			<div><input type="checkbox"/> Preferential Treatment Given Under AJCEP Agreement</div> <div><input type="checkbox"/> Preferential Treatment Not Given (Please state reason/s)</div> <div>..... Signature of Authorised Signatory of the Importing Country</div>		
5. Item number	6. Marks and numbers of packages	7. Number and type of packages, description of goods (including quantity where appropriate and HS number of the importing Party)	8. Origin criteria (see Notes overleaf)	9. Gross weight or other quantity and value (FOB only when RVC criterion is used)	10. Number and date of invoices
11. Declaration by the exporter			12. Certification		
<div>The undersigned hereby declares that the above details and statements are correct; that all the goods were produced in</div> <div>..... (Country)</div> <div>and that they comply with the requirements specified for these goods in the AJCEP Agreement for the goods exported to</div> <div>..... (Importing Country)</div> <div>..... Place and date, name, signature and company of authorised signatory</div>			<div>It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct.</div> <div>..... Place and date, signature and stamp of certifying authority</div>		
13. <input type="checkbox"/> Third Country Invoicing			<input type="checkbox"/> Back-to-Back CO		
			<input type="checkbox"/> Issued Retroactively		

OVERLEAF NOTES

1. The following countries shall use this form for the purpose of preferential tariff treatment under the Agreement on Comprehensive Economic Partnership among Member States of the Association of Southeast Asian Nations and Japan (AJCEP Agreement) :

BRUNEI DARUSSALAM	CAMBODIA	INDONESIA	LAOS	MALAYSIA
MYANMAR	PHILIPPINES	SINGAPORE	THAILAND	VIETNAM

2. CONDITIONS: To enjoy preferential tariff treatment under the AJCEP Agreement, goods exported to any of the Parties to the AJCEP Agreement should:

- (i) fall within a description of goods eligible for concessions in the importing Party;
- (ii) comply with the consignment conditions in accordance with Article 31 of the AJCEP Agreement; and
- (iii) comply with the origin criteria in Chapter 3 of the AJCEP Agreement.

3. ORIGIN CRITERIA: For goods that meet the origin criteria, the exporter should indicate in box 8 of this Form, the origin criteria met, in the manner shown in the following table:

Circumstances of production or manufacture in the country named in box 11 of this form:	Insert in box 8
(c) Goods satisfying subparagraph (c) of Article 24 of the AJCEP Agreement	"PE"
(d) Wholly obtained goods satisfying Article 25 of the AJCEP Agreement	"WO"
(c) Goods satisfying paragraph 1 of Article 26 of the AJCEP Agreement	"CTH" or "RVC"
(d) Goods satisfying paragraph 2 of Article 26 of the AJCEP Agreement <ul style="list-style-type: none"> - Change in Tariff Classification - Regional Value Content - Specific Processes 	"CTC" "RVC" "SP"
Also, exporters should indicate the following where applicable:	
(e) Goods which comply with Article 28 of the AJCEP Agreement	"DMI"
(f) Goods which comply with Article 29 of the AJCEP Agreement	"ACU"

4. EACH ITEM SHOULD QUALIFY: All items in a consignment should qualify separately in their own right. This is of particular relevance when similar items of different sizes are exported.
5. DESCRIPTION OF GOODS: For each good, the HS tariff classification number of the importing Party should be indicated at the six-digit level. The description of the good on a certificate of origin should be substantially identical to the description on the invoice and, if possible, to the description under the HS for the good. With respect to subheading 2208.90 and 9404.90, in an exceptional case where the good is a specific product requiring a special description (e.g. "sake compound and cooking sake (Mirin) of subheading 2208.90", "beverages with a basis of fruit, of an alcoholic strength by volume of less than 1% of subheading 2208.90" "quilts and eiderdowns of 9404.90"), such description of specific products should be indicated.
6. FREE-ON-BOARD (FOB) VALUE: The FOB value in Box 9 shall be reflected only when the Regional Value Content criterion is applied in determining the origin of goods. In the case of goods exported from and imported by Cambodia and Myanmar, the FOB value shall be included on the Certificate of Origin, irrespective of the origin criteria used, for 2 years upon the implementation of this new arrangement.
7. INVOICES: Indicate the invoice number and date for each item. The invoice should be the one issued for the importation of the good into the importing Party.
8. THIRD COUNTRY INVOICING: In cases where invoices are issued by a third country, in accordance with Rule 3 (d) of Implementing Regulations, the "Third Country Invoicing" box in box 13 should be ticked (✓). The number of invoices issued for the importation of goods into the importing Party should be indicated in box 10, and the full legal name and address of the company or person that issued the invoices shall be indicated in box 7.
- In an exceptional case where the invoice issued in a third country is not available at the time of issuance of the certificate of origin, the invoice number and the date of the invoice issued by the exporter to whom the certificate of origin is issued should be indicated in box 10. The "Third Country Invoicing" in box 13 should be ticked, and it should be indicated in box 7 that the goods will be subject to another invoice to be issued in a third country for the importation into the importing Party, identifying in box 7 the full legal name and address of the company or person that will issue another invoice in the third country. In such a case, the customs authority of the importing Party may require the importer to provide the invoices and any other relevant documents which confirm the transaction from the exporting Party to the importing Party, with regard to the goods declared for import.
9. BACK-TO-BACK CERTIFICATE OF ORIGIN: In the case of a back-to-back CO issued in accordance with paragraph 4 of Rule 3 of the Operational Certification Procedures, the "Back-to-Back CO" box in box 13 should be ticked (✓).
10. ISSUED RETROACTIVELY: In cases of a CO issued retroactively in accordance with Rule 7 of the Implementing Regulations, the "Issued Retroactively" box in box 13 should be ticked (✓).
11. CERTIFIED TRUE COPY: In cases of certified true copies, the words "CERTIFIED TRUE COPY" should be indicated in box 12 in accordance with Rule 5 of the Implementing Regulations.

1. Goods consigned from (Exporter's name, address, country)			Reference No. THE AGREEMENT ON COMPREHENSIVE ECONOMIC PARTNERSHIP AMONG MEMBER STATES OF THE ASSOCIATION OF SOUTHEAST ASIAN NATIONS AND JAPAN (AJCEP AGREEMENT) CERTIFICATE OF ORIGIN FORM AJ Issued in <u>VIET NAM</u> (Country) See Notes Overleaf		
2. Goods consigned to (Importer's/Consignee's name, address, country)					
3. Means of transport and route (as far as known) Shipment date Vessel's name/Aircraft etc. Port of discharge			4. For Official Use <div style="margin-bottom: 10px;"> <input type="checkbox"/> Preferential Treatment Given Under AJCEP Agreement </div> <div> <input type="checkbox"/> Preferential Treatment Not Given (Please state reason/s) </div> Signature of Authorised Signatory of the Importing Country		
5. Item number	6. Marks and numbers of packages	7. Number and type of packages, description of goods (including quantity where appropriate and HS number of the importing Party)	8. Origin criteria (see Notes overleaf)	9. Gross weight or other quantity and value (FOB only when RVC criterion is used)	10. Number and date of invoices
11. Declaration by the exporter The undersigned hereby declares that the above details and statements are correct; that all the goods were produced in (Country) and that they comply with the requirements specified for these goods in the AJCEP Agreement for the goods exported to (Importing Country) Place and date, name, signature and company of authorised signatory			12. Certification It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct. Place and date, signature and stamp of certifying authority		
13. <input type="checkbox"/> Third Country Invoicing <input type="checkbox"/> Back-to-Back CO <input type="checkbox"/> Issued Retroactively					

OVERLEAF NOTES

1. The following countries shall use this form for the purpose of preferential tariff treatment under the Agreement on Comprehensive Economic Partnership among Member States of the Association of Southeast Asian Nations and Japan (AJCEP Agreement) :

BRUNEI DARUSSALAM	CAMBODIA	INDONESIA	LAOS	MALAYSIA
MYANMAR	PHILIPPINES	SINGAPORE	THAILAND	VIETNAM

2. CONDITIONS: To enjoy preferential tariff treatment under the AJCEP Agreement, goods exported to any of the Parties to the AJCEP Agreement should:

- (i) fall within a description of goods eligible for concessions in the importing Party;
- (ii) comply with the consignment conditions in accordance with Article 31 of the AJCEP Agreement; and
- (iii) comply with the origin criteria in Chapter 3 of the AJCEP Agreement.

3. ORIGIN CRITERIA: For goods that meet the origin criteria, the exporter should indicate in box 8 of this Form, the origin criteria met, in the manner shown in the following table:

Circumstances of production or manufacture in the country named in box 11 of this form:	Insert in box 8
(e) Goods satisfying subparagraph (c) of Article 24 of the AJCEP Agreement	"PE"
(f) Wholly obtained goods satisfying Article 25 of the AJCEP Agreement	"WO"
(c) Goods satisfying paragraph 1 of Article 26 of the AJCEP Agreement	"CTH" or "RVC"
(d) Goods satisfying paragraph 2 of Article 26 of the AJCEP Agreement - Change in Tariff Classification - Regional Value Content - Specific Processes	"CTC" "RVC" "SP"
Also, exporters should indicate the following where applicable:	
(e) Goods which comply with Article 28 of the AJCEP Agreement	"DMI"
(f) Goods which comply with Article 29 of the AJCEP Agreement	"ACU"

4. EACH ITEM SHOULD QUALIFY: All items in a consignment should qualify separately in their own right. This is of particular relevance when similar items of different sizes are exported.
5. DESCRIPTION OF GOODS: For each good, the HS tariff classification number of the importing Party should be indicated at the six-digit level. The description of the good on a certificate of origin should be substantially identical to the description on the invoice and, if possible, to the description under the HS for the good. With respect to subheading 2208.90 and 9404.90, in an exceptional case where the good is a specific product requiring a special description (e.g. "sake compound and cooking sake (Mirin) of subheading 2208.90", "beverages with a basis of fruit, of an alcoholic strength by volume of less than 1% of subheading 2208.90" "quilts and eiderdowns of 9404.90"), such description of specific products should be indicated.
6. FREE-ON-BOARD (FOB) VALUE: The FOB value in Box 9 shall be reflected only when the Regional Value Content criterion is applied in determining the origin of goods. In the case of goods exported from and imported by Cambodia and Myanmar, the FOB value shall be included on the Certificate of Origin, irrespective of the origin criteria used, for 2 years upon the implementation of this new arrangement.
7. INVOICES: Indicate the invoice number and date for each item. The invoice should be the one issued for the importation of the good into the importing Party.
8. THIRD COUNTRY INVOICING: In cases where invoices are issued by a third country, in accordance with Rule 3 (d) of Implementing Regulations, the "Third Country Invoicing" box in box 13 should be ticked (✓). The number of invoices issued for the importation of goods into the importing Party should be indicated in box 10, and the full legal name and address of the company or person that issued the invoices shall be indicated in box 7.

In an exceptional case where the invoice issued in a third country is not available at the time of issuance of the certificate of origin, the invoice number and the date of the invoice issued by the exporter to whom the certificate of origin is issued should be indicated in box 10. The "Third Country Invoicing" in box 13 should be ticked, and it should be indicated in box 7 that the goods will be subject to another invoice to be issued in a third country for the importation into the importing Party, identifying in box 7 the full legal name and address of the company or person that will issue another invoice in the third country. In such a case, the customs authority of the importing Party may require the importer to provide the invoices and any other relevant documents which confirm the transaction from the exporting Party to the importing Party, with regard to the goods declared for import.
9. BACK-TO-BACK CERTIFICATE OF ORIGIN: In the case of a back-to-back CO issued in accordance with paragraph 4 of Rule 3 of the Operational Certification Procedures, the "Back-to-Back CO" box in box 13 should be ticked (✓).
10. ISSUED RETROACTIVELY: In cases of a CO issued retroactively in accordance with Rule 7 of the Implementing Regulations, the "Issued Retroactively" box in box 13 should be ticked (✓).
11. CERTIFIED TRUE COPY: In cases of certified true copies, the words "CERTIFIED TRUE COPY" should be indicated in box 12 in accordance with Rule 5 of the Implementing Regulations.

(Additional Page)

Reference No.

5. Item number	6. Marks and numbers on packages	7. Number and type of packages, description of goods (including quantity where appropriate and HS number of the importing country)	8. Origin criterion (see Notes overleaf)	9. Gross weight or other quantity and value (FOB only when RVC criterion is used)	10. Number and date of Invoices
<div>11. Declaration by the exporter</div> <div>The undersigned hereby declares that the above details and statement are correct; that all the goods were produced in</div> <div>.....</div> <div>(Country)</div> <div>and that they comply with the origin requirements specified for these goods in the KOREA-ASEAN Free Trade Area Preferential Tariff for the goods exported to</div> <div>.....</div> <div>(Importing Country)</div> <div>.....</div> <div>Place and date, signature of authorised signatory</div>			<div>12. Certification</div> <div>It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct.</div> <div>.....</div> <div>Place and date, signature and stamp of certifying authority</div>		

(Additional Page)

Reference No.

5. Item number	6. Marks and numbers on packages	7. Number and type of packages, description of goods (including quantity where appropriate and HS number of the importing country)	8. Origin criterion (see Notes overleaf)	9. Gross weight or other quantity and value (FOB only when RVC criterion is used)	10. Number and date of Invoices
<div>11. Declaration by the exporter</div> <div>The undersigned hereby declares that the above details and statement are correct; that all the goods were produced in</div> <div>.....</div> <div>(Country)</div> <div>and that they comply with the origin requirements specified for these goods in the KOREA-ASEAN Free Trade Area Preferential Tariff for the goods exported to</div> <div>.....</div> <div>(Importing Country)</div> <div>.....</div> <div>Place and date, signature of authorised signatory</div>			<div>12. Certification</div> <div>It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct.</div> <div>.....</div> <div>Place and date, signature and stamp of certifying authority</div>		

(Additional Page)

Reference No.

5. Item number	6. Marks and numbers on packages	7. Number and type of packages, description of goods (including quantity where appropriate and HS number of the importing country)	8. Origin criterion (see Notes overleaf)	9. Gross weight or other quantity and value (FOB only when RVC criterion is used)	10. Number and date of Invoices

<p>11. Declaration by the exporter</p> <p>The undersigned hereby declares that the above details and statement are correct; that all the goods were produced in</p> <p>..... (Country)</p> <p>and that they comply with the origin requirements specified for these goods in the KOREA-ASEAN Free Trade Area Preferential Tariff for the goods exported to</p> <p>..... (Importing Country)</p> <p>..... Place and date, signature of authorised signatory</p>	<p>12. Certification</p> <p>It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct.</p> <p>..... Place and date, signature and stamp of certifying authority</p>
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1. Goods consigned from (Exporter's name, e-mail address, telephone number, address and country)		Reference No.			
		<div>THE COMPREHENSIVE AND PROGRESSIVE AGREEMENT FOR TRANS-PACIFIC PARTNERSHIP</div> <div>CERTIFICATE OF ORIGIN</div> <div><i>(Combined declaration and certificate)</i></div> <div>FORM CPTPP</div> <div>Issued in Viet Nam</div>			
2. Goods consigned to (Consignee's name, e-mail address, telephone number, address and country) (if known)		4. For official use <div><input type="checkbox"/> Non-Party Invoicing</div> <div><input type="checkbox"/> Certified True Copy</div>			
		5. Producer (Producer's name, e-mail address, telephone number, address and country)			
3. Means of transport and route (optional)					
6. Item No.	7. Marks, numbers and kind of packages; description of goods (including HS number of Importing Country)	8. Origin criterion	9. Quantity of goods	10. Number and date of invoices	
11. Declaration by the exporter <p>I certify that the goods described in this document qualify as originating and the information contained in this document is true and accurate. I assume responsibility for proving such representations and agree to maintain and present upon request or to make available during a verification visit, documentation necessary to support this certification.</p> <div>..... (Place and date, signature of authorised signatory)</div>		12. Certification <p>It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct.</p> <div>..... (Place and date, signature and stamp of certifying authority)</div>			

ADDITIONAL PAGE(S) OF CERTIFICATE OF ORIGIN FORM CPTPP

Reference No.
Page /

6. Item No.	7. Marks, numbers and kind of packages; description of goods (including HS number of Importing Country)	8. Origin criterion	9. Quantity of goods	10. Number and date of invoices
11. Declaration by the exporter I certify that the goods described in this document qualify as originating and the information contained in this document is true and accurate. I assume responsibility for proving such representations and agree to maintain and present upon request or to make available during a verification visit, documentation necessary to support this certification. <div> <div></div> <div> (Place and date, signature of authorised signatory) </div> </div>		12. Certification It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct. <div> <div></div> <div> (Place and date, signature and stamp of certifying authority) </div> </div>		

OVERLEAF NOTES

1. Member States which accept this form for the purpose of preferential treatment under the ASEAN Trade in Goods Agreement (ATIGA):

BRUNEI DARUSSALAM
LAO PDR
PHILIPPINES
VIETNAM

CAMBODIA
MALAYSIA
SINGAPORE

INDONESIA
MYANMAR
THAILAND

2. CONDITIONS: The main conditions for admission to the preferential treatment under the ATIGA are that goods sent to any Member States listed above must:
- (i) fall within a description of products eligible for concessions in the country of destination;
 - (ii) comply with the consignment conditions in accordance with Article 32 (Direct Consignment) of the ATIGA; and
 - (iii) comply with the origin criteria set out in Chapter 3 of the ATIGA.
3. ORIGIN CRITERIA: For goods that meet the origin criteria, the exporter and/or producer must indicate in Box 8 of this Form, the origin criteria met, in the manner shown in the following table:

Circumstances of production or manufacture in the first country named in Box 11 of this form	Insert in Box 8
(a) Goods wholly obtained or produced in the exporting Member State satisfying Article 27 (Wholly Obtained or Produced Goods) of the ATIGA	"WO"
(b) Goods satisfying Article 28 (Not-Wholly Obtained or Produced Goods) of the ATIGA <ul style="list-style-type: none"> Regional Value Content Change in Tariff Classification Specific Processes Combination Criteria 	Percentage of Regional Value Content, example "40%" The actual CTC rule, example "CC" or "CTH" or "CTSH" "SP" The actual combination criterion, example "CTSH+35%"
(c) Goods satisfying paragraph 2 of Article 30 (Partial Cumulation) of the ATIGA	"PC x%", where x would be the percentage of Regional Value Content of less than 40%, example "PC 25%"

4. EACH ARTICLE MUST QUALIFY: It should be noted that all the goods in a consignment must qualify separately in their own right. This is of particular relevance when similar articles of different sizes or spare parts are sent.
5. DESCRIPTION OF PRODUCTS: The description of products must be sufficiently detailed to enable the products to be identified by the Customs Officers examining them. Name of manufacturer and any trade mark shall also be specified.
6. HARMONISED SYSTEM NUMBER: The Harmonised System number shall be that of in ASEAN Harmonised Tariff Nomenclature (AHTN) Code of the importing Member State.
7. EXPORTER: The term "Exporter" in Box 11 may include the manufacturer or the producer.
8. FOR OFFICIAL USE: The Customs Authority of the importing Member State must indicate (✓) in the relevant boxes in column 4 whether or not preferential treatment is accorded.
9. MULTIPLE ITEMS: For multiple items declared in the same Form D, if preferential treatment is not granted to any of the items, the "Preferential Treatment Not Given" in Box 4 should be ticked (✓) and the item number should also be circled or marked appropriately in Box 5.
10. FOB PRICE: This is applicable for goods exported from and imported by Kingdom of Cambodia, Republic of Indonesia, and Lao People's Democratic Republic and where the Regional Value Content (RVC) criteria is applied, by providing the FOB price of the goods in Box 9.
11. THIRD COUNTRY INVOICING: In cases where invoices are issued by a third country, "the Third Country Invoicing" box should be ticked (✓) and such information as name and country of the company issuing the invoice shall be indicated in Box 7.
12. BACK-TO-BACK CERTIFICATE OF ORIGIN: In cases of Back-to-Back CO, in accordance with Rule 11 of Annex 8 of the ATIGA, the "Back-to-Back CO" box should be ticked (✓) and the reference number and the date of issuance of the original Proof(s) of Origin shall be indicated in Box 7.
13. EXHIBITIONS: In cases where goods are sent from the exporting Member State for exhibition in another country and sold during or after the exhibition for importation into a Member State, in accordance with Rule 22 of Annex 8 of the ATIGA, the "Exhibitions" box should be ticked (✓) and the name and address of the exhibition indicated in Box 2.
14. ISSUED RETROACTIVELY: Due to involuntary errors or omissions or other valid causes, the Certificate of Origin (Form D) may be issued retroactively, in accordance with paragraph 2 of Rule 10 of Annex 8 of the ATIGA, and the "Issued Retroactively" box should be ticked (✓).
15. ACCUMULATION: In cases where goods originating in a Member State are used in another Member State as materials for finished goods, in accordance with paragraph 1 of Article 30 of the ATIGA, the "Accumulation" box should be ticked (✓).
16. PARTIAL CUMULATION (PC): If the Regional Value Content of the material is less than forty percent (40%), the Certificate of Origin (Form D) may be issued for cumulation purposes, in accordance with paragraph 2 of Article 30 of the ATIGA, the "Partial Cumulation" box should be ticked (✓).
17. DE MINIMIS: If a good that does not undergo the required change in tariff classification does not exceed ten percent (10%) of the FOB price, in accordance with Article 33 of the ATIGA, the "De Minimis" box should be ticked (✓).

ORIGINAL

1. Products consigned from (Exporter's business name, address, country)			Reference No. ASEAN-CHINA FREE TRADE AREA PREFERENTIAL TARIFF CERTIFICATE OF ORIGIN (Combined Declaration and Certificate) FORM E Issued in _____ (Country) See Overleaf Notes		
2. Products consigned to (Consignee's name, address, country)					
3. Means of transport and route (as far as known) Departure date Vessel's name/Aircraft etc. Port of Discharge			4. For Official Use <input type="checkbox"/> Preferential Treatment Given ----- <input type="checkbox"/> Preferential Treatment Not Given (Please state reason/s) ----- ----- Signature of Authorised Signatory of the Importing Party		
5. Item number	6. Marks and numbers on packages	7. Number and type of packages, description of products (including quantity where appropriate and HS number in six digit code)	8. Origin criteria (see Overleaf Notes)	9. Gross weight or net weight or other quantity, and value (FOB) only when RVC criterion is applied	10. Number, date of Invoices
11. Declaration by the exporter The undersigned hereby declares that the above details and statement are correct; that all the products were produced in ----- (Country) and that they comply with the origin requirements specified for these products in the Rules of Origin for the ACFTA for the products exported to ----- (Importing Country) ----- Place and date, signature of authorised signatory			12. Certification It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct. ----- Place and date, signature and stamp of certifying authority		
13. <input type="checkbox"/> Issued Retroactively <input type="checkbox"/> Exhibition <input type="checkbox"/> Movement Certificate <input type="checkbox"/> Third Party Invoicing					

OVERLEAF NOTES

1. Parties which accept this form for the purpose of preferential treatment under the ASEAN-China Free Trade Area (ACFTA):

BRUNEI DARUSSALAM
INDONESIA
MYANMAR
THAILAND

CAMBODIA
LAOS
PHILIPPINES
VIETNAM

CHINA
MALAYSIA
SINGAPORE

2. CONDITIONS: The main conditions for admission to the preferential treatment under the ACFTA are that products sent to any Parties listed above:

- (i) must fall within a description of products eligible for concessions in the country of destination;
- (ii) must comply with all relevant provisions of Annex 1 (Rules of Origin) of the Protocol to Amend the Framework Agreement on Comprehensive Economic Co-operation and Certain Agreements thereunder between the Association of Southeast Asian Nations (ASEAN) and the People's Republic of China (ACFTA Upgrading Protocol).

3. ORIGIN CRITERIA: For each good described in Box 7 of this form, the origin criteria met should be indicated in Box 8, in the manner shown in the following table:

Circumstances of production or manufacture in the first country named in Box 11 of this form	Insert in Box 8
(a) Goods wholly produced or obtained satisfying subparagraph (a) of Article 2 of Annex 1 of the ACFTA Upgrading Protocol	WO
(b) Goods produced in a Party exclusively from originating materials from one or more of the Parties satisfying subparagraph (b) of Article 2 of Annex 1 of the ACFTA Upgrading Protocol	PE
(c) Goods produced from non-originating materials in a Party, satisfying paragraph 1 of Article 4 of Annex 1 of the ACFTA Upgrading Protocol	
- Regional Value Content	Actual percentage of ACFTA value content, example "40%"
- Change in Tariff Classification at the four-digit level	CTH
(d) Goods satisfying the Product Specific Rules (PSR) in Attachment B of Annex 1 of the ACFTA Upgrading Protocol	PSR

4. EACH ARTICLE MUST QUALIFY: It should be noted that all the products in a consignment must qualify separately in their own right. This is of particular relevance when similar articles of different sizes or spare parts are sent.
5. DESCRIPTION OF PRODUCTS: The description of products in Box 7 must be sufficiently detailed to enable the products to be identified by the Customs Officers examining them.
6. The Harmonised System number of the importing party in Box 7 (six digit code) shall be determined according to the International Convention on the Harmonized Commodity Description and Coding System and subsequent amendments thereto.
7. The term "Exporter" in Box 1 and 11 may include the manufacturer or the producer. In the case of Movement Certificate (MC), the term "Exporter" also includes the exporter in the intermediate Party. For China, a Chinese manufacturer can apply for a Certificate of Origin (Form E) in the case where the manufacturer needs to authorise other agencies to export on its behalf. In this case, the manufacturer can make the declaration indicated in Box 11 and shall state the name and address of the exporter in Box 7.
8. FOR OFFICIAL USE: The Customs Authority of the importing Party must indicate (✓) in the relevant boxes in column 4 whether or not preferential treatment is accorded.
9. MOVEMENT CERTIFICATE: In cases of Movement Certificate, in accordance with Rule 12 of Attachment A of the Rules of Origin of the ACFTA Upgrading Protocol (Operational Certification Procedures): (i) "Movement Certificate" in Box 13 should be ticked (✓); (ii) the indicated value in Box 9 shall be the invoice value of the products exported from the intermediate Party. The indicated value in Box 9 is only required when the RVC criterion is applied; (iii) The name of the original Issuing Authorities of the Party, date of the issuance and the reference number of the original Certificate of Origin (Form E) to be indicated in Box 7.
10. THIRD PARTY INVOICING: In cases where invoices are issued by a third country, "the Third Party Invoicing" in Box 13 shall be ticked (✓). The invoice number shall be indicated in Box 10. Information such as name and country of the company issuing the invoice shall be indicated in Box 7.
11. EXHIBITIONS: In cases where products are sent from the exporting Party for exhibition in another Party and sold during or after the exhibition for importation into a Party, in accordance with Rule 22 of Attachment A of the Rules of Origin for the ACFTA, the "Exhibitions" in Box 13 should be ticked (✓) and the name and address of the exhibition indicated in Box 2.
12. ISSUED RETROACTIVELY: In exceptional cases, due to involuntary errors or omissions or other valid causes, the Certificate of Origin (Form E) may be issued retroactively in accordance with Rule 11 of Attachment A of the Rules of Origin for the ACFTA. The "Issued Retroactively" in Box 13 shall be ticked (✓) electronically or typewritten together with other information in the Certificate of Origin (Form E). In cases where the "Issued Retroactively" in Box 13 cannot be ticked electronically or typewritten, the Certificate of Origin (Form E) shall be stamped with the words "ISSUED RETROACTIVELY".

DUPLICATE

1. Products consigned from (Exporter's business name, address, country)		Reference No. ASEAN-CHINA FREE TRADE AREA PREFERENTIAL TARIFF CERTIFICATE OF ORIGIN (Combined Declaration and Certificate) FORM E Issued in _____ (Country) See Overleaf Notes				
2. Products consigned to (Consignee's name, address, country)						
3. Means of transport and route (as far as known) Departure date Vessel's name/Aircraft etc. Port of Discharge		4. For Official Use <input type="checkbox"/> Preferential Treatment Given <input type="checkbox"/> Preferential Treatment Not Given (Please state reason/s) Signature of Authorised Signatory of the Importing Party				
5. Item number	6. Marks and numbers on packages	7. Number and type of packages, description of products (including quantity where appropriate and HS number in six digit code)		8. Origin criteria (see Overleaf Notes)	9. Gross weight or net weight or other quantity, and value (FOB) only when RVC criterion is applied	10. Number, date of Invoices
11. Declaration by the exporter The undersigned hereby declares that the above details and statement are correct; that all the products were produced in (Country) and that they comply with the origin requirements specified for these products in the Rules of Origin for the ACFTA for the products exported to (Importing Country) Place and date, signature of authorised signatory				12. Certification It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct. Place and date, signature and stamp of certifying authority		
13. <input type="checkbox"/> Issued Retroactively <input type="checkbox"/> Movement Certificate <input type="checkbox"/> Exhibition <input type="checkbox"/> Third Party Invoicing						

OVERLEAF NOTES

1. Parties which accept this form for the purpose of preferential treatment under the ASEAN-China Free Trade Area (ACFTA):

BRUNEI DARUSSALAM
INDONESIA
MYANMAR
THAILAND

CAMBODIA
LAOS
PHILIPPINES
VIETNAM

CHINA
MALAYSIA
SINGAPORE

2. CONDITIONS: The main conditions for admission to the preferential treatment under the ACFTA are that products sent to any Parties listed above:

- (i) must fall within a description of products eligible for concessions in the country of destination;
- (ii) must comply with all relevant provisions of Annex 1 (Rules of Origin) of the Protocol to Amend the Framework Agreement on Comprehensive Economic Co-operation and Certain Agreements thereunder between the Association of Southeast Asian Nations (ASEAN) and the People's Republic of China (ACFTA Upgrading Protocol).

3. ORIGIN CRITERIA: For each good described in Box 7 of this form, the origin criteria met should be indicated in Box 8, in the manner shown in the following table:

Circumstances of production or manufacture in the first country named in Box 11 of this form	Insert in Box 8
(a) Goods wholly produced or obtained satisfying subparagraph (a) of Article 2 of Annex 1 of the ACFTA Upgrading Protocol	WO
(b) Goods produced in a Party exclusively from originating materials from one or more of the Parties satisfying subparagraph (b) of Article 2 of Annex 1 of the ACFTA Upgrading Protocol	PE
(c) Goods produced from non-originating materials in a Party, satisfying paragraph 1 of Article 4 of Annex 1 of the ACFTA Upgrading Protocol	
- Regional Value Content	Actual percentage of ACFTA value content, example "40%"
- Change in Tariff Classification at the four-digit level	CTH
(d) Goods satisfying the Product Specific Rules (PSR) in Attachment B of Annex 1 of the ACFTA Upgrading Protocol	PSR

4. EACH ARTICLE MUST QUALIFY: It should be noted that all the products in a consignment must qualify separately in their own right. This is of particular relevance when similar articles of different sizes or spare parts are sent.
5. DESCRIPTION OF PRODUCTS: The description of products in Box 7 must be sufficiently detailed to enable the products to be identified by the Customs Officers examining them.
6. The Harmonised System number of the importing party in Box 7 (six digit code) shall be determined according to the International Convention on the Harmonized Commodity Description and Coding System and subsequent amendments thereto.
7. The term "Exporter" in Box 1 and 11 may include the manufacturer or the producer. In the case of Movement Certificate (MC), the term "Exporter" also includes the exporter in the intermediate Party. For China, a Chinese manufacturer can apply for a Certificate of Origin (Form E) in the case where the manufacturer needs to authorise other agencies to export on its behalf. In this case, the manufacturer can make the declaration indicated in Box 11 and shall state the name and address of the exporter in Box 7.
8. FOR OFFICIAL USE: The Customs Authority of the importing Party must indicate (✓) in the relevant boxes in column 4 whether or not preferential treatment is accorded.
9. MOVEMENT CERTIFICATE: In cases of Movement Certificate, in accordance with Rule 12 of Attachment A of the Rules of Origin of the ACFTA Upgrading Protocol (Operational Certification Procedures): (i) "Movement Certificate" in Box 13 should be ticked (✓); (ii) the indicated value in Box 9 shall be the invoice value of the products exported from the intermediate Party. The indicated value in Box 9 is only required when the RVC criterion is applied; (iii) The name of the original Issuing Authorities of the Party, date of the issuance and the reference number of the original Certificate of Origin (Form E) to be indicated in Box 7.
10. THIRD PARTY INVOICING: In cases where invoices are issued by a third country, "the Third Party Invoicing" in Box 13 shall be ticked (✓). The invoice number shall be indicated in Box 10. Information such as name and country of the company issuing the invoice shall be indicated in Box 7.
11. EXHIBITIONS: In cases where products are sent from the exporting Party for exhibition in another Party and sold during or after the exhibition for importation into a Party, in accordance with Rule 22 of Attachment A of the Rules of Origin for the ACFTA, the "Exhibitions" in Box 13 should be ticked (✓) and the name and address of the exhibition indicated in Box 2.
12. ISSUED RETROACTIVELY: In exceptional cases, due to involuntary errors or omissions or other valid causes, the Certificate of Origin (Form E) may be issued retroactively in accordance with Rule 11 of Attachment A of the Rules of Origin for the ACFTA. The "Issued Retroactively" in Box 13 shall be ticked (✓) electronically or typewritten together with other information in the Certificate of Origin (Form E). In cases where the "Issued Retroactively" in Box 13 cannot be ticked electronically or typewritten, the Certificate of Origin (Form E) shall be stamped with the words "ISSUED RETROACTIVELY".

TRIPLICATE

1. Products consigned from (Exporter's business name, address, country)		Reference No. ASEAN-CHINA FREE TRADE AREA PREFERENTIAL TARIFF CERTIFICATE OF ORIGIN (Combined Declaration and Certificate) FORM E Issued in _____ (Country) See Overleaf Notes				
2. Products consigned to (Consignee's name, address, country)						
3. Means of transport and route (as far as known) Departure date Vessel's name/Aircraft etc. Port of Discharge		4. For Official Use <input type="checkbox"/> Preferential Treatment Given ----- <input type="checkbox"/> Preferential Treatment Not Given (Please state reason/s) ----- Signature of Authorised Signatory of the Importing Party				
5. Item number	6. Marks and numbers on packages	7. Number and type of packages, description of products (including quantity where appropriate and HS number in six digit code)		8. Origin criteria (see Overleaf Notes)	9. Gross weight or net weight or other quantity, and value (FOB) only when RVC criterion is applied	10. Number, date of Invoices
11. Declaration by the exporter The undersigned hereby declares that the above details and statement are correct; that all the products were produced in (Country) and that they comply with the origin requirements specified for these products in the Rules of Origin for the ACFTA for the products exported to (Importing Country) Place and date, signature of authorised signatory				12. Certification It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct. Place and date, signature and stamp of certifying authority		
13. <input type="checkbox"/> Issued Retroactively <input type="checkbox"/> Movement Certificate <input type="checkbox"/> Exhibition <input type="checkbox"/> Third Party Invoicing						

OVERLEAF NOTES

1. Parties which accept this form for the purpose of preferential treatment under the ASEAN-China Free Trade Area (ACFTA):

BRUNEI DARUSSALAM
INDONESIA
MYANMAR
THAILAND

CAMBODIA
LAOS
PHILIPPINES
VIETNAM

CHINA
MALAYSIA
SINGAPORE

2. **CONDITIONS:** The main conditions for admission to the preferential treatment under the ACFTA are that products sent to any Parties listed above:

- (i) must fall within a description of products eligible for concessions in the country of destination;
- (ii) must comply with all relevant provisions of Annex 1 (Rules of Origin) of the Protocol to Amend the Framework Agreement on Comprehensive Economic Co-operation and Certain Agreements thereunder between the Association of Southeast Asian Nations (ASEAN) and the People's Republic of China (ACFTA Upgrading Protocol).

3. **ORIGIN CRITERIA:** For each good described in Box 7 of this form, the origin criteria met should be indicated in Box 8, in the manner shown in the following table:

Circumstances of production or manufacture in the first country named in Box 11 of this form	Insert in Box 8
(a) Goods wholly produced or obtained satisfying subparagraph (a) of Article 2 of Annex 1 of the ACFTA Upgrading Protocol	WO
(b) Goods produced in a Party exclusively from originating materials from one or more of the Parties satisfying subparagraph (b) of Article 2 of Annex 1 of the ACFTA Upgrading Protocol	PE
(c) Goods produced from non-originating materials in a Party, satisfying paragraph 1 of Article 4 of Annex 1 of the ACFTA Upgrading Protocol	
- Regional Value Content	Actual percentage of ACFTA value content, example "40%"
- Change in Tariff Classification at the four-digit level	CTH
(d) Goods satisfying the Product Specific Rules (PSR) in Attachment B of Annex 1 of the ACFTA Upgrading Protocol	PSR

4. **EACH ARTICLE MUST QUALIFY:** It should be noted that all the products in a consignment must qualify separately in their own right. This is of particular relevance when similar articles of different sizes or spare parts are sent.
5. **DESCRIPTION OF PRODUCTS:** The description of products in Box 7 must be sufficiently detailed to enable the products to be identified by the Customs Officers examining them.
6. The Harmonised System number of the importing party in Box 7 (six digit code) shall be determined according to the International Convention on the Harmonized Commodity Description and Coding System and subsequent amendments thereto.
7. The term "Exporter" in Box 1 and 11 may include the manufacturer or the producer. In the case of Movement Certificate (MC), the term "Exporter" also includes the exporter in the intermediate Party. For China, a Chinese manufacturer can apply for a Certificate of Origin (Form E) in the case where the manufacturer needs to authorise other agencies to export on its behalf. In this case, the manufacturer can make the declaration indicated in Box 11 and shall state the name and address of the exporter in Box 7.
8. **FOR OFFICIAL USE:** The Customs Authority of the importing Party must indicate (✓) in the relevant boxes in column 4 whether or not preferential treatment is accorded.
9. **MOVEMENT CERTIFICATE:** In cases of Movement Certificate, in accordance with Rule 12 of Attachment A of the Rules of Origin of the ACFTA Upgrading Protocol (Operational Certification Procedures): (i) "Movement Certificate" in Box 13 should be ticked (✓); (ii) the indicated value in Box 9 shall be the invoice value of the products exported from the intermediate Party. The indicated value in Box 9 is only required when the RVC criterion is applied; (iii) The name of the original Issuing Authorities of the Party, date of the issuance and the reference number of the original Certificate of Origin (Form E) to be indicated in Box 7.
10. **THIRD PARTY INVOICING:** In cases where invoices are issued by a third country, "the Third Party Invoicing" in Box 13 shall be ticked (✓). The invoice number shall be indicated in Box 10. Information such as name and country of the company issuing the invoice shall be indicated in Box 7.
11. **EXHIBITIONS:** In cases where products are sent from the exporting Party for exhibition in another Party and sold during or after the exhibition for importation into a Party, in accordance with Rule 22 of Attachment A of the Rules of Origin for the ACFTA, the "Exhibitions" in Box 13 should be ticked (✓) and the name and address of the exhibition indicated in Box 2.
12. **ISSUED RETROACTIVELY:** In exceptional cases, due to involuntary errors or omissions or other valid causes, the Certificate of Origin (Form E) may be issued retroactively in accordance with Rule 11 of Attachment A of the Rules of Origin for the ACFTA. The "Issued Retroactively" in Box 13 shall be ticked (✓) electronically or typewritten together with other information in the Certificate of Origin (Form E). In cases where the "Issued Retroactively" in Box 13 cannot be ticked electronically or typewritten, the Certificate of Origin (Form E) shall be stamped with the words "ISSUED RETROACTIVELY".

1. Goods Consigned from (Exporter's name, address and country)				Certificate No.				Form RCEP	
2. Goods Consigned to (Importer's/ Consignee's name, address, country)				<div>REGIONAL COMPREHENSIVE ECONOMIC PARTNERSHIP AGREEMENT</div> <div>CERTIFICATE OF ORIGIN</div> <div>Issued in (Country)</div>					
3. Producer's name, address and country (if known)									
4. Means of transport and route (if known) Departure Date: Vessel's name/Aircraft flight number, etc.: Port of Discharge:									
				5. For Official Use Preferential Treatment: <input type="checkbox"/> Given <input type="checkbox"/> Not Given (Please state reason/s) Signature of Authorised Signatory of the Customs Authority of the Importing Country					
6. Item number	7. Marks and numbers on packages	8. Number and kind of packages; and description of goods.	9. HS Code of the goods (6 digit-level)	10. Origin Conferring Criterion	11. RCEP Country of Origin	12. Quantity (Gross weight or other measurement), and value (FOB) where RVC is applied	13. Invoice number(s) and date of invoice(s)		
14. Remarks									
15. Declaration by the exporter or producer The undersigned hereby declares that the above details and statements are correct and that the goods covered in this Certificate comply with the requirements specified for these goods in the Regional Comprehensive Economic Partnership Agreement. These goods are exported to: (importing country) Place and date, and signature of authorised signatory				16. Certification On the basis of control carried out, it is hereby certified that the information herein is correct and that the goods described comply with the origin requirements specified in the Regional Comprehensive Economic Partnership Agreement. Place and date, signature and seal or stamp of Issuing Body					
17. <input type="checkbox"/> Back-to-back Certificate of Origin <input type="checkbox"/> Third-party invoicing <input type="checkbox"/> ISSUED RETROACTIVELY									

OVERLEAF NOTES

1. **CONDITIONS:** To be eligible for the preferential tariff treatment under the Regional Comprehensive Economic Partnership Agreement (the Agreement), goods should:
 - a. fall within a description of goods eligible for concessions in the importing Party; and
 - b. comply with all relevant provisions of Chapter 3 (Rules of Origin) and if applicable, Article 2.6 (Tariff Differentials) of Chapter 2 of the Agreement.
2. **EXPORTER AND CONSIGNEE/IMPORTER:** Provide details of the exporter of the goods (including name, address and country) and consignee/importer (including name, address, and country) in Box 1 and Box 2, respectively.
3. **PRODUCER:** Provide the details of the producer of the goods (including name, address and country) in Box 3, if known. In case of multiple producers, indicate "SEE BOX 8" in Box 3 and provide the details in Box 8 for each item. If the producer wishes the information to be confidential, it is acceptable to state "CONFIDENTIAL", however, the producer information may be available to the competent authority or authorised body upon request. In case the details of the producer are unknown, it is acceptable to state "NOT AVAILABLE".
4. **DESCRIPTION OF GOODS:** The description of each good in Box 8 should be sufficiently detailed to enable the products to be identified by the customs officer examining them.
5. **HARMONIZED COMMODITY DESCRIPTION AND CODING SYSTEM (HS):** The HS should be at the 6-digit level of the exported product and based on Annex 3A of the Agreement.
6. **ORIGIN CONFERRING CRITERIA:** For the goods that meet the origin conferring criteria, the exporter should indicate in Box 10 of this Form, the origin conferring criteria met, in the manner shown in the following table:

Origin conferring criteria	Insert in Box 10
(a) Goods wholly obtained or produced satisfying Article 3.2(a) of Chapter 3 of the Agreement	WO
(b) Goods produced exclusively from originating materials satisfying Article 3.2(b) of Chapter 3 of the Agreement	PE
(c) Goods produced using non-originating materials provided that the goods satisfy the product specific requirements set out in Annex 3A of the Agreement: <ul style="list-style-type: none"> - Change in Tariff Classification - Regional Value Content - Chemical Reaction 	CTC RVC CR
(d) Goods comply with Article 3.4 of Chapter 3 of the Agreement	ACU
(e) Goods comply with Article 3.7 of Chapter 3 of the Agreement	DMI

7. **EACH GOOD CLAIMING PREFERENTIAL TARIFF TREATMENT QUALIFIES IN ITS OWN RIGHT:** It should be noted that all the goods in a consignment qualifies separately in their own right.
8. **RCEP COUNTRY OF ORIGIN:** The RCEP country of origin should be indicated separately for each good in the manner shown in the following table:

Circumstances	Insert in Box 11 – RCEP country of origin
(a) Goods are in Appendix to Annex I of the importing Party but do not meet the additional requirement specified in the Appendix to Annex I i.e. a Domestic Value Addition of 20% (DV20).	Indicate the name of the Party that contributed the highest value of originating materials used in the production of that good in the exporting Party in accordance with Article 2.6.4.
(b) Goods that are not in the Appendix to Annex I of the importing Party, are produced exclusively from originating materials in accordance with Article 3.2(b) of Chapter 3 of the Agreement but are not processed beyond minimal operations set out in Article 2.6.5 of Chapter 2 of the Agreement in the exporting Party.	
IN ALL OTHER CIRCUMSTANCES, including	Indicate the name of the exporting Party
(c) Goods are in Appendix to Annex I of the importing Party and meet the additional requirement specified in Appendix to Annex I i.e. a Domestic Value Addition of 20% (DV20).	
(d) Goods are wholly obtained or produced in accordance with Article 3.2(a) of Chapter 3 of the Agreement	
(e) Goods that are not in the Appendix to Annex I of the Importing Party and satisfy the applicable requirements set out in Annex 3A (Product-Specific Rules) in accordance with Article 3.2(c) of Chapter 3 of the Agreement.	
(f) Goods that are not in the Appendix to Annex I of the importing Party, are produced exclusively from originating materials in accordance with Article 3.2(b) and are processed beyond minimal operations set out in Article 2.6.5 of Chapter 2 of the Agreement in the exporting Party.	

Notes: Notwithstanding the above, under paragraph 6 of Article 2.6 of Chapter 2 of the Agreement the importer is allowed to make a claim for preferential tariff treatment at either:

- the highest rate of customs duty the importing Party applies to the same originating good from any of the Parties contributing originating materials used in the production of such good, (Article 2.6.6(a)), or
- the highest rate of customs duty that the importing Party applies to the same originating good from any of the Parties (Article 2.6.6(b)).

When the RCEP country of origin cannot be ascertained, based on the information provided by the exporter/producer and importer, indicate the name of the Party with the highest rate of customs duty followed by " * " if the Article 2.6.6(a) of Chapter 2 of the Agreement is being used or " * * " if the Article 2.6.6(b) of Chapter 2 of the Agreement is being used. For example: Australia * or Indonesia * *.

9. **FOB VALUE:** The FOB value in Box 12 only needs to be provided when the Regional Value Content criterion is applied in determining the originating status of goods.
10. **INVOICES:** Indicate the invoice number and date in Box 13. If multiple invoices are used, indicate the invoice number and date for each item. The invoice is the one issued for the importation of the good into the importing Party. In cases where invoices used for the importation are not issued by the exporter or producer, in accordance with Article 3.20 of Chapter 3 of the Agreement, the "Third-party invoicing" box in Box 17 should be ticked (✓), and the name and country of the company issuing the invoice should be provided in Box 14.
11. **BACK-TO-BACK CERTIFICATE OF ORIGIN:** In the case of a back-to back Certificate of Origin issued in accordance with Article 3.19 of Chapter 3 of the Agreement, the "Back-to-back Certificate of Origin" box in Box 17 should be ticked (✓), and the original Proof of Origin reference number, date of issuance, issuing country, RCEP country of origin of the first exporting Party, and, if applicable, approved exporter authorisation code of the first exporting Party should be indicated in Box 14.
12. **ISSUED RETROACTIVELY:** Where a Certificate of Origin is issued retrospectively in accordance with paragraph 8 of Article 3.17 of Chapter 3 of the Agreement, the "ISSUED RETROACTIVELY" box in Box 17 should be ticked (✓).
13. **CERTIFIED TRUE COPY:** Where a certified true copy of the original Certificate of Origin is issued in accordance with paragraph 9 of Article 3.17 of Chapter 3 of the Agreement, the words "CERTIFIED TRUE COPY" and the date of issuance of the certified true copy should be indicated in Box 14.
14. **FOR OFFICIAL USE:** The customs authority of the importing Party may indicate (✓) in the relevant box in Box 5 in accordance with their domestic laws and regulations.
15. **REMARKS:** Box 14 should only be filled out when necessary and contain information including as specified in Paragraphs 10, 11, and 13 of the Overleaf Notes.

Continuation Sheet

Certificate No.

Form RCEP

6. Item number	7. Marks and numbers on packages	8. Number and kind of packages; and description of goods.	9. HS Code of the goods (6 digit-level)	10. Origin Conferring Criterion	11. RCEP Country of Origin	12. Quantity (Gross weight or other measurement), and value (FOB) where RVC is applied	13. Invoice number(s) and date of invoice(s)
14. Remarks							
15. Declaration by the exporter or producer The undersigned hereby declares that the above details and statements are correct and that the goods covered in this Certificate comply with the requirements specified for these goods in the Regional Comprehensive Economic Partnership Agreement. These goods are exported to: (importing country) Place and date and signature of authorised signatory				16. Certification On the basis of control carried out, it is hereby certified that the information herein is correct and that the goods described comply with the origin requirements specified in the Regional Comprehensive Economic Partnership Agreement. Place and date, signature and seal or stamp of Issuing Body			

OVERLEAF NOTES

1. **CONDITIONS:** To be eligible for the preferential tariff treatment under the Regional Comprehensive Economic Partnership Agreement (the Agreement), goods should:
 - a. fall within a description of goods eligible for concessions in the importing Party; and
 - b. comply with all relevant provisions of Chapter 3 (Rules of Origin) and if applicable, Article 2.6 (Tariff Differentials) of Chapter 2 of the Agreement.
2. **EXPORTER AND CONSIGNEE/IMPORTER:** Provide details of the exporter of the goods (including name, address and country) and consignee/importer (including name, address, and country) in Box 1 and Box 2, respectively.
3. **PRODUCER:** Provide the details of the producer of the goods (including name, address and country) in Box 3, if known. In case of multiple producers, indicate "SEE BOX 8" in Box 3 and provide the details in Box 8 for each item. If the producer wishes the information to be confidential, it is acceptable to state "CONFIDENTIAL", however, the producer information may be available to the competent authority or authorised body upon request. In case the details of the producer are unknown, it is acceptable to state "NOT AVAILABLE".
4. **DESCRIPTION OF GOODS:** The description of each good in Box 8 should be sufficiently detailed to enable the products to be identified by the customs officer examining them.
5. **HARMONIZED COMMODITY DESCRIPTION AND CODING SYSTEM (HS):** The HS should be at the 6-digit level of the exported product and based on Annex 3A of the Agreement.
6. **ORIGIN CONFERRING CRITERIA:** For the goods that meet the origin conferring criteria, the exporter should indicate in Box 10 of this Form, the origin conferring criteria met, in the manner shown in the following table:

Origin conferring criteria	Insert in Box 10
(a) Goods wholly obtained or produced satisfying Article 3.2(a) of Chapter 3 of the Agreement	WO
(b) Goods produced exclusively from originating materials satisfying Article 3.2(b) of Chapter 3 of the Agreement	PE
(c) Goods produced using non-originating materials provided that the goods satisfy the product specific requirements set out in Annex 3A of the Agreement: <ul style="list-style-type: none"> - Change in Tariff Classification - Regional Value Content - Chemical Reaction 	CTC RVC CR
(d) Goods comply with Article 3.4 of Chapter 3 of the Agreement	ACU
(e) Goods comply with Article 3.7 of Chapter 3 of the Agreement	DMI

7. **EACH GOOD CLAIMING PREFERENTIAL TARIFF TREATMENT QUALIFIES IN ITS OWN RIGHT:** It should be noted that all the goods in a consignment qualifies separately in their own right.
8. **RCEP COUNTRY OF ORIGIN:** The RCEP country of origin should be indicated separately for each good in the manner shown in the following table:

Circumstances	Insert in Box 11 – RCEP country of origin
(a) Goods are in Appendix to Annex I of the importing Party but do not meet the additional requirement specified in the Appendix to Annex I i.e. a Domestic Value Addition of 20% (DV20).	Indicate the name of the Party that contributed the highest value of originating materials used in the production of that good in the exporting Party in accordance with Article 2.6.4.
(b) Goods that are not in the Appendix to Annex I of the importing Party, are produced exclusively from originating materials in accordance with Article 3.2(b) of Chapter 3 of the Agreement but are not processed beyond minimal operations set out in Article 2.6.5 of Chapter 2 of the Agreement in the exporting Party.	
IN ALL OTHER CIRCUMSTANCES, including	Indicate the name of the exporting Party
(c) Goods are in Appendix to Annex I of the importing Party and meet the additional requirement specified in Appendix to Annex I i.e. a Domestic Value Addition of 20% (DV20).	
(d) Goods are wholly obtained or produced in accordance with Article 3.2(a) of Chapter 3 of the Agreement	
(e) Goods that are not in the Appendix to Annex I of the Importing Party and satisfy the applicable requirements set out in Annex 3A (Product-Specific Rules) in accordance with Article 3.2(c) of Chapter 3 of the Agreement.	
(f) Goods that are not in the Appendix to Annex I of the importing Party, are produced exclusively from originating materials in accordance with Article 3.2(b) and are processed beyond minimal operations set out in Article 2.6.5 of Chapter 2 of the Agreement in the exporting Party.	

Notes: Notwithstanding the above, under paragraph 6 of Article 2.6 of Chapter 2 of the Agreement the importer is allowed to make a claim for preferential tariff treatment at either:

- the highest rate of customs duty the importing Party applies to the same originating good from any of the Parties contributing originating materials used in the production of such good, (Article 2.6.6(a)), or
- the highest rate of customs duty that the importing Party applies to the same originating good from any of the Parties (Article 2.6.6(b)).

When the RCEP country of origin cannot be ascertained, based on the information provided by the exporter/producer and importer, indicate the name of the Party with the highest rate of customs duty followed by " * " if the Article 2.6.6(a) of Chapter 2 of the Agreement is being used or " * * " if the Article 2.6.6(b) of Chapter 2 of the Agreement is being used. For example: Australia * or Indonesia * *.

9. **FOB VALUE:** The FOB value in Box 12 only needs to be provided when the Regional Value Content criterion is applied in determining the originating status of goods.
10. **INVOICES:** Indicate the invoice number and date in Box 13. If multiple invoices are used, indicate the invoice number and date for each item. The invoice is the one issued for the importation of the good into the importing Party. In cases where invoices used for the importation are not issued by the exporter or producer, in accordance with Article 3.20 of Chapter 3 of the Agreement, the "Third-party invoicing" box in Box 17 should be ticked (✓), and the name and country of the company issuing the invoice should be provided in Box 14.
11. **BACK-TO-BACK CERTIFICATE OF ORIGIN:** In the case of a back-to back Certificate of Origin issued in accordance with Article 3.19 of Chapter 3 of the Agreement, the "Back-to-back Certificate of Origin" box in Box 17 should be ticked (✓), and the original Proof of Origin reference number, date of issuance, issuing country, RCEP country of origin of the first exporting Party, and, if applicable, approved exporter authorisation code of the first exporting Party should be indicated in Box 14.
12. **ISSUED RETROACTIVELY:** Where a Certificate of Origin is issued retrospectively in accordance with paragraph 8 of Article 3.17 of Chapter 3 of the Agreement, the "ISSUED RETROACTIVELY" box in Box 17 should be ticked (✓).
13. **CERTIFIED TRUE COPY:** Where a certified true copy of the original Certificate of Origin is issued in accordance with paragraph 9 of Article 3.17 of Chapter 3 of the Agreement, the words "CERTIFIED TRUE COPY" and the date of issuance of the certified true copy should be indicated in Box 14.
14. **FOR OFFICIAL USE:** The customs authority of the importing Party may indicate (✓) in the relevant box in Box 5 in accordance with their domestic laws and regulations.
15. **REMARKS:** Box 14 should only be filled out when necessary and contain information including as specified in Paragraphs 10, 11, and 13 of the Overleaf Notes.

1. Goods consigned from (Exporter's business name, address, country)			Reference No. <div style="text-align: center;"> VIETNAM-LAOS PREFERENTIAL TARIFF AGREEMENT CERTIFICATE OF ORIGIN (Combined Declaration and Certificate) </div> <div style="text-align: center; margin-top: 10px;"> FORM S </div> <div style="text-align: center; margin-top: 10px;"> Issued in <u>VIET NAM</u> (Country) See Overleaf Notes </div>		
2. Goods consigned to (Consignee's name, address, country)			4. For Official Use <div style="margin-top: 10px;"> <input type="checkbox"/> Preferential Treatment Given Under Vietnam-Laos Preferential Tariff Agreement </div> <div style="margin-top: 10px;"> <input type="checkbox"/> Preferential Treatment Not Given (Please state reason/s) </div> <div style="margin-top: 20px;"> Signature of Authorised Signatory of the Importing Country </div>		
3. Means of transport and route (as far as known) Departure date Track's name/Aircraft etc. Port of Discharge					
5. Item number	6. Marks and numbers on packages	7. Number and type of packages, description of goods (including quantity where appropriate and HS number of the importing country at 8 digits)	8. Origin criterion (see Notes overleaf)	9. Gross weight or other quantity and value (FOB)	10. Number and date of invoices
11. Declaration by the exporter The undersigned hereby declares that the above details and statement are correct; that all the goods were produced in (Country) and that they comply with the origin requirements specified for these goods in the Rules of Origin of the Vietnam-Laos Preferential Tariff Agreement for the goods exported to (Importing Country) Place and date, signature of authorised signatory			12. Certification It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct. Place and date, signature and stamp of certifying authority		
13. <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Third Country Invoicing <input type="checkbox"/> Accumulation <input type="checkbox"/> Partial Cumulation </div> <div> <input type="checkbox"/> Exhibition <input type="checkbox"/> De Minimis <input type="checkbox"/> Issued Retroactively </div> </div>					

OVERLEAF NOTES

1. Parties which accept this form for the purpose of preferential treatment under the Vietnam-Laos Preferential Tariff Agreement:

LAO PDR
VIETNAM
2. **CONDITIONS:** The main conditions for admission to the preferential treatment under the Vietnam-Laos Preferential Tariff Agreement are that goods sent to any Party listed above must:
 - (i) fall within a description of products eligible for concessions in the country of destination;
 - (ii) comply with the consignment conditions in accordance with Article 8 of Rules of Origin for the Vietnam-Laos Preferential Tariff Agreement (Vietnam-Laos ROO); and
 - (iii) comply with the origin criteria set out in Vietnam-Laos ROO.
3. **ORIGIN CRITERIA:** For goods that meet the origin criteria, the exporter and/or producer must indicate in Box 8 of this Form, the origin criteria met, in the manner shown in the following table:

Circumstances of production or manufacture in the first country named in Box 11 of this form	Insert in Box 8
(a) Goods satisfying Article 3 of Vietnam-Laos ROO (wholly obtained or produced in the exporting Party)	WO
(b) Goods satisfying Article 4(1)(a)(i), 4(1)(a)(ii) of Vietnam-Laos ROO <ul style="list-style-type: none"> • Local Value Content • Change in Tariff Classification at four-digit level 	Percentage of Vietnam-Laos value content, example: LVC (40%) CTH
(c) Goods satisfying Article 6(2) of Vietnam-Laos ROO	"PC x%", where x would be the percentage of Vietnam-Laos value content of less than 40%, example "PC 25%"

4. **EACH ARTICLE MUST QUALIFY:** It should be noted that all the goods in a consignment must qualify separately in their own right. This is of particular relevance when similar articles of different sizes or spare parts are sent.
5. **DESCRIPTION OF PRODUCTS:** The description of products must be sufficiently detailed to enable the products to be identified by the Customs Officers examining them. Name of manufacturer, any trade mark shall also be specified.
6. **HARMONISED SYSTEM NUMBER:** The Harmonised System number shall be that of in ASEAN Harmonised Tariff Nomenclature (AHTN) Code of the importing Party.
7. **EXPORTER:** The term "Exporter" in Box 11 may include the manufacturer or the producer.
8. **FOR OFFICIAL USE:** The Customs Authority of the importing Party must indicate (☐) in the relevant boxes in column 4 whether or not preferential treatment is accorded.
9. **MULTIPLE ITEMS:** For multiple items declared in the same Form S, if preferential treatment is not granted to any of the items, this is also to be indicated accordingly in box 4 and the item number circled or marked appropriately in box 5.
10. **THIRD COUNTRY INVOICING:** In cases where invoices are issued by a third country, "the Third Country Invoicing" box should be ticked (✓) and such information as name and country of the company issuing the invoice shall be indicated in box 7.
11. **EXHIBITIONS:** In cases where goods are sent from the territory of the exporting Party for exhibition in another Party and sold during or after the exhibition for importation into the territory of such Party, in accordance with Rule 21 of the Operational Certification Procedures, the "Exhibitions" box should be ticked (✓) and the name and address of the exhibition indicated in box 2.
12. **ISSUED RETROACTIVELY:** In exceptional cases, due to involuntary errors or omissions or other valid causes, the Certificate of Origin (Form S) may be issued retroactively, in accordance with Rule 10(2) of the Operational Certification Procedures, the "Issued Retroactively" box should be ticked (✓).
13. **ACCUMULATION:** In cases where the originating materials in a Party are used in the other Party as materials for a finished good, in accordance with Article 6 of the Vietnam-Laos ROO, the "Accumulation" box should be ticked (✓).
14. **PARTIAL CUMULATION (PC):** If the Local Value Content of material is less than 40%, the Certificate of Origin (Form S) may be issued for cumulation purposes, in accordance with Article 6(2) of the Vietnam-Laos ROO, the "Partial Cumulation" box should be ticked (✓).
15. **DE MINIMIS:** if the value of all non-originating materials used in its production that do not undergo the required change in tariff classification does not exceed ten (10) percent of the FOB value of the good, in accordance with Article 9 of the Vietnam-Laos ROO, the "De Minimis" box should be ticked (✓).

1. Goods consigned from (Exporter's business name, address, country)			Reference No. <div style="text-align: center;"> VIETNAM-LAOS PREFERENTIAL TARIFF AGREEMENT CERTIFICATE OF ORIGIN (Combined Declaration and Certificate) </div> <div style="text-align: center; margin-top: 10px;"> FORM S Issued in <u>VIET NAM</u> (Country) See Overleaf Notes </div>		
2. Goods consigned to (Consignee's name, address, country)			4. For Official Use <div style="margin-top: 10px;"> <input type="checkbox"/> Preferential Treatment Given Under Vietnam-Laos Preferential Tariff Agreement </div> <div style="margin-top: 10px;"> <input type="checkbox"/> Preferential Treatment Not Given (Please state reason/s) </div> <div style="margin-top: 20px;"> Signature of Authorised Signatory of the Importing Country </div>		
3. Means of transport and route (as far as known) Departure date Track's name/Aircraft etc. Port of Discharge					
5. Item number	6. Marks and numbers on packages	7. Number and type of packages, description of goods (including quantity where appropriate and HS number of the importing country at 8 digits)	8. Origin criterion (see Notes overleaf)	9. Gross weight or other quantity and value (FOB)	10. Number and date of invoices
11. Declaration by the exporter The undersigned hereby declares that the above details and statement are correct; that all the goods were produced in (Country) and that they comply with the origin requirements specified for these goods in the Rules of Origin of the Vietnam-Laos Preferential Tariff Agreement for the goods exported to (Importing Country) Place and date, signature of authorised signatory			12. Certification It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct. Place and date, signature and stamp of certifying authority		
13. <div style="display: flex; flex-wrap: wrap;"> <div style="width: 50%;"> <input type="checkbox"/> Third Country Invoicing <input type="checkbox"/> Accumulation <input type="checkbox"/> Partial Cumulation </div> <div style="width: 50%;"> <input type="checkbox"/> Exhibition <input type="checkbox"/> De Minimis <input type="checkbox"/> Issued Retroactively </div> </div>					

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1. Parties which accept this form for the purpose of preferential treatment under the Vietnam-Laos Preferential Tariff Agreement:

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 - (i) fall within a description of products eligible for concessions in the country of destination;
 - (ii) comply with the consignment conditions in accordance with Article 8 of Rules of Origin for the Vietnam-Laos Preferential Tariff Agreement (Vietnam-Laos ROO); and
 - (iii) comply with the origin criteria set out in Vietnam-Laos ROO.
3. **ORIGIN CRITERIA:** For goods that meet the origin criteria, the exporter and/or producer must indicate in Box 8 of this Form, the origin criteria met, in the manner shown in the following table:

Circumstances of production or manufacture in the first country named in Box 11 of this form	Insert in Box 8
(a) Goods satisfying Article 3 of Vietnam-Laos ROO (wholly obtained or produced in the exporting Party)	WO
(b) Goods satisfying Article 4(1)(a)(i), 4(1)(a)(ii) of Vietnam-Laos ROO <ul style="list-style-type: none"> • Local Value Content • Change in Tariff Classification at four-digit level 	Percentage of Vietnam-Laos value content, example: LVC (40%) CTH
(c) Goods satisfying Article 6(2) of Vietnam-Laos ROO	"PC x%", where x would be the percentage of Vietnam-Laos value content of less than 40%, example "PC 25%"

4. **EACH ARTICLE MUST QUALIFY:** It should be noted that all the goods in a consignment must qualify separately in their own right. This is of particular relevance when similar articles of different sizes or spare parts are sent.
5. **DESCRIPTION OF PRODUCTS:** The description of products must be sufficiently detailed to enable the products to be identified by the Customs Officers examining them. Name of manufacturer, any trade mark shall also be specified.
6. **HARMONISED SYSTEM NUMBER:** The Harmonised System number shall be that of in ASEAN Harmonised Tariff Nomenclature (AHTN) Code of the importing Party.
7. **EXPORTER:** The term "Exporter" in Box 11 may include the manufacturer or the producer.
8. **FOR OFFICIAL USE:** The Customs Authority of the importing Party must indicate (☐) in the relevant boxes in column 4 whether or not preferential treatment is accorded.
9. **MULTIPLE ITEMS:** For multiple items declared in the same Form S, if preferential treatment is not granted to any of the items, this is also to be indicated accordingly in box 4 and the item number circled or marked appropriately in box 5.
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11. **EXHIBITIONS:** In cases where goods are sent from the territory of the exporting Party for exhibition in another Party and sold during or after the exhibition for importation into the territory of such Party, in accordance with Rule 21 of the Operational Certification Procedures, the "Exhibitions" box should be ticked (✓) and the name and address of the exhibition indicated in box 2.
12. **ISSUED RETROACTIVELY:** In exceptional cases, due to involuntary errors or omissions or other valid causes, the Certificate of Origin (Form S) may be issued retroactively, in accordance with Rule 10(2) of the Operational Certification Procedures, the "Issued Retroactively" box should be ticked (✓).
13. **ACCUMULATION:** In cases where the originating materials in a Party are used in the other Party as materials for a finished good, in accordance with Article 6 of the Vietnam-Laos ROO, the "Accumulation" box should be ticked (✓).
14. **PARTIAL CUMULATION (PC):** If the Local Value Content of material is less than 40%, the Certificate of Origin (Form S) may be issued for cumulation purposes, in accordance with Article 6(2) of the Vietnam-Laos ROO, the "Partial Cumulation" box should be ticked (✓).
15. **DE MINIMIS:** if the value of all non-originating materials used in its production that do not undergo the required change in tariff classification does not exceed ten (10) percent of the FOB value of the good, in accordance with Article 9 of the Vietnam-Laos ROO, the "De Minimis" box should be ticked (✓).

1. Goods consigned from (Exporter's business name, address, country)			Reference No. <div style="text-align: center;"> VIETNAM-LAOS PREFERENTIAL TARIFF AGREEMENT CERTIFICATE OF ORIGIN (Combined Declaration and Certificate) </div> <div style="text-align: center; margin-top: 10px;"> FORM S </div> <div style="text-align: center; margin-top: 10px;"> Issued in <u>VIET NAM</u> (Country) See Overleaf Notes </div>		
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3. Means of transport and route (as far as known) <div style="margin-top: 10px;">Departure date</div> <div style="margin-top: 10px;">Track's name/Aircraft etc.</div> <div style="margin-top: 10px;">Port of Discharge</div>					
5. Item number	6. Marks and numbers on packages	7. Number and type of packages, description of goods (including quantity where appropriate and HS number of the importing country at 8 digits)	8. Origin criterion (see Notes overleaf)	9. Gross weight or other quantity and value (FOB)	10. Number and date of invoices
11. Declaration by the exporter The undersigned hereby declares that the above details and statement are correct; that all the goods were produced in (Country) and that they comply with the origin requirements specified for these goods in the Rules of Origin of the Vietnam-Laos Preferential Tariff Agreement for the goods exported to (Importing Country) Place and date, signature of authorised signatory			12. Certification It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct. Place and date, signature and stamp of certifying authority		
13. <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Third Country Invoicing <input type="checkbox"/> Accumulation <input type="checkbox"/> Partial Cumulation </div> <div> <input type="checkbox"/> Exhibition <input type="checkbox"/> De Minimis <input type="checkbox"/> Issued Retroactively </div> </div>					

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 - (i) fall within a description of products eligible for concessions in the country of destination;
 - (ii) comply with the consignment conditions in accordance with Article 8 of Rules of Origin for the Vietnam-Laos Preferential Tariff Agreement (Vietnam-Laos ROO); and
 - (iii) comply with the origin criteria set out in Vietnam-Laos ROO.
3. **ORIGIN CRITERIA:** For goods that meet the origin criteria, the exporter and/or producer must indicate in Box 8 of this Form, the origin criteria met, in the manner shown in the following table:

Circumstances of production or manufacture in the first country named in Box 11 of this form	Insert in Box 8
(a) Goods satisfying Article 3 of Vietnam-Laos ROO (wholly obtained or produced in the exporting Party)	WO
(b) Goods satisfying Article 4(1)(a)(i), 4(1)(a)(ii) of Vietnam-Laos ROO <ul style="list-style-type: none"> • Local Value Content • Change in Tariff Classification at four-digit level 	Percentage of Vietnam-Laos value content, example: LVC (40%) CTH
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4. **EACH ARTICLE MUST QUALIFY:** It should be noted that all the goods in a consignment must qualify separately in their own right. This is of particular relevance when similar articles of different sizes or spare parts are sent.
5. **DESCRIPTION OF PRODUCTS:** The description of products must be sufficiently detailed to enable the products to be identified by the Customs Officers examining them. Name of manufacturer, any trade mark shall also be specified.
6. **HARMONISED SYSTEM NUMBER:** The Harmonised System number shall be that of in ASEAN Harmonised Tariff Nomenclature (AHTN) Code of the importing Party.
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9. **MULTIPLE ITEMS:** For multiple items declared in the same Form S, if preferential treatment is not granted to any of the items, this is also to be indicated accordingly in box 4 and the item number circled or marked appropriately in box 5.
10. **THIRD COUNTRY INVOICING:** In cases where invoices are issued by a third country, "the Third Country Invoicing" box should be ticked (✓) and such information as name and country of the company issuing the invoice shall be indicated in box 7.
11. **EXHIBITIONS:** In cases where goods are sent from the territory of the exporting Party for exhibition in another Party and sold during or after the exhibition for importation into the territory of such Party, in accordance with Rule 21 of the Operational Certification Procedures, the "Exhibitions" box should be ticked (✓) and the name and address of the exhibition indicated in box 2.
12. **ISSUED RETROACTIVELY:** In exceptional cases, due to involuntary errors or omissions or other valid causes, the Certificate of Origin (Form S) may be issued retroactively, in accordance with Rule 10(2) of the Operational Certification Procedures, the "Issued Retroactively" box should be ticked (✓).
13. **ACCUMULATION:** In cases where the originating materials in a Party are used in the other Party as materials for a finished good, in accordance with Article 6 of the Vietnam-Laos ROO, the "Accumulation" box should be ticked (✓).
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15. **DE MINIMIS:** if the value of all non-originating materials used in its production that do not undergo the required change in tariff classification does not exceed ten (10) percent of the FOB value of the good, in accordance with Article 9 of the Vietnam-Laos ROO, the "De Minimis" box should be ticked (✓).

CERTIFICATE OF ORIGIN

Page: ____/____

1. Exporter's business name, address, country			4. Reference No.: _____ <div style="text-align: center;"> VIET NAM - CHILE Free Trade Agreement FORM VC Issued in <u>VIET NAM</u> (Country) (See Overleaf Notes) </div>		
2. Consignee's name, address, country			For Official Use <input type="checkbox"/> Preferential Tariff Treatment Given under FTA <input type="checkbox"/> Preferential Tariff Treatment Not Given under FTA (please state reason(s)) Signature of Authorized Signatory of the Importing Country		
3. Means of transport and route (as far as known) Departure date: Vessel's name/Aircraft etc: Port of Discharge:			5. <input type="checkbox"/> Issued Retroactively <input type="checkbox"/> Non-Party Invoicing <input type="checkbox"/> Certified True Copy		
6. Item number	7. Marks and numbers of packages	8. Number and type of packages, description of goods (including HS code)	9. Origin criterion	10. Gross weight or quantity	11. Number and date of invoices
12. Declaration by the exporter: The undersigned, hereby declares that the above details and statement are correct; that all the goods were produced in (country) and that they comply with the origin requirements specified for these goods in the VCFTA Place and date, name, signature and company authorized signatory			13. Certification It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct. Place and date, signature and stamp of Issuing Authority		

OVERLEAF NOTES

For the purpose of claiming preferential tariff treatment, the document should be completed legibly and filled by the exporter. All items of the form should be completed in the English Language.

If the space of this document is insufficient to specify the necessary particulars for identifying the goods and other related information, the exporter may provide the information using additional Certificate of Origin.

Box 1: State the full name, address and country of the exporter.

Box 2: State the full name, address and country of the consignment.

Box 3: Provide the departure date, the name of vessel/aircraft and the name of the port of discharge, as far as known.

Box 4: State the country where the Certificate of Origin is issued.

Box 5:

- If the Certificate of Origin is issued Retroactively, the “Issued Retroactively” box should be ticked (✓)
- In case where invoices are issued by a non-Party, the “Non-Party invoicing” box should be ticked (✓)
- In case the Certificate of Origin is a duplicate of the original, in accordance with Rule 7, the “certified true copy” box should be ticked (✓).

Box 6: Provide the item number.

Box 7: Provide the marks and number of packages.

Box 8: Provide the number and type of packages, HS code and description of each good consigned. The HS code should be indicated at the six-digit level.

The description of the good on a Certificate of Origin should be substantially identical to the description on the invoice and, if possible to the description under HS code for the good.

Box 9: For the goods that meet the origin criterion, the exporter must indicate the origin criterion met, in the manner shown in the following table:

Description of Criterion	Criterion (Insert in Box 9)
(a) a good is wholly obtained or produced in the Party as set out and defined in Article 4.3 of the VCFTA	WO
(b) a good satisfies paragraph 1 of Article 4.4 of the VCFTA	RVC 40% or CTH
(c) a good satisfies paragraph 3 of Article 4.4 of the VCFTA (i.e the Product Specific Rules) <ul style="list-style-type: none"> - Change in Tariff Classification <ul style="list-style-type: none"> • Change in Tariff Chapter • Change in Tariff Heading • Change in Tariff Subheading - Regional Value Content 	CC CTH CTSH RVC 40%, RVC 50%
(d) a good is produced entirely in the Party exclusively from originating materials of the Parties	PE
Also, exporters should indicate the following where applicable:	
(e) Goods which comply with Article 4.6 of the VCFTA	ACU
(f) Goods which comply with Article 4.9 of the VCFTA	DMI

Box 10: For each good indicate the quantity or gross weight

Box 11: Indicate the invoice number(s) and date(s) for each good. The invoice should be the one issued for the importation of the good into the importing Party.

Where invoices are issued by a third country, in accordance with Rule 17 of the Operational Certification Procedures, the “Non-Party Invoicing” box in box 5 should be ticked (✓). The number of invoices issued for the importation of goods into the importing Party should be indicated in box 11, and the full legal name and address of the company or person that issued the invoices shall be indicated in box 8.

In a case where the invoice number issued in a non-Party at the time of issuance of the Certificate of Origin is not known, Box 11 should be left blank.

Box 12: This Box should be completed, signed and dated by the exporter. The “Date” should be the date when the Certificate of Origin is applied for.

Box 13: This Box should be completed, dated, signed and stamped by the Issuing Authority of the exporting Party. The “Date” should be the date when the Certificate of Origin is issued.

CERTIFICATE OF ORIGIN

Page: ____/____

1. Exporter's business name, address, country			4. Reference No.: _____ <div style="text-align: center;"> VIET NAM - CHILE Free Trade Agreement FORM VC Issued in <u>VIET NAM</u> (Country) (See Overleaf Notes) </div>		
2. Consignee's name, address, country			For Official Use <input type="checkbox"/> Preferential Tariff Treatment Given under FTA <input type="checkbox"/> Preferential Tariff Treatment Not Given under FTA (please state reason(s)) Signature of Authorized Signatory of the Importing Country		
3. Means of transport and route (as far as known) Departure date: Vessel's name/Aircraft etc: Port of Discharge:			5. <input type="checkbox"/> Issued Retroactively <input type="checkbox"/> Non-Party Invoicing <input type="checkbox"/> Certified True Copy		
6. Item number	7. Marks and numbers of packages	8. Number and type of packages, description of goods (including HS code)	9. Origin criterion	10. Gross weight or quantity	11. Number and date of invoices
12. Declaration by the exporter: The undersigned, hereby declares that the above details and statement are correct; that all the goods were produced in <div style="text-align: center;"> (country) </div> and that they comply with the origin requirements specified for these goods in the VCFTA <div style="text-align: center;"> Place and date, name, signature and company authorized signatory </div>			13. Certification It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct. <div style="text-align: center;"> Place and date, signature and stamp of Issuing Authority </div>		

OVERLEAF NOTES

For the purpose of claiming preferential tariff treatment, the document should be completed legibly and filled by the exporter. All items of the form should be completed in the English Language.

If the space of this document is insufficient to specify the necessary particulars for identifying the goods and other related information, the exporter may provide the information using additional Certificate of Origin.

Box 1: State the full name, address and country of the exporter.

Box 2: State the full name, address and country of the consignment.

Box 3: Provide the departure date, the name of vessel/aircraft and the name of the port of discharge, as far as known.

Box 4: State the country where the Certificate of Origin is issued.

Box 5:

- If the Certificate of Origin is issued Retroactively, the “Issued Retroactively” box should be ticked (✓)
- In case where invoices are issued by a non-Party, the “Non-Party invoicing” box should be ticked (✓)
- In case the Certificate of Origin is a duplicate of the original, in accordance with Rule 7, the “certified true copy” box should be ticked (✓).

Box 6: Provide the item number.

Box 7: Provide the marks and number of packages.

Box 8: Provide the number and type of packages, HS code and description of each good consigned. The HS code should be indicated at the six-digit level.

The description of the good on a Certificate of Origin should be substantially identical to the description on the invoice and, if possible to the description under HS code for the good.

Box 9: For the goods that meet the origin criterion, the exporter must indicate the origin criterion met, in the manner shown in the following table:

Description of Criterion	Criterion (Insert in Box 9)
(a) a good is wholly obtained or produced in the Party as set out and defined in Article 4.3 of the VCFTA	WO
(b) a good satisfies paragraph 1 of Article 4.4 of the VCFTA	RVC 40% or CTH
(c) a good satisfies paragraph 3 of Article 4.4 of the VCFTA (i.e the Product Specific Rules) <ul style="list-style-type: none">- Change in Tariff Classification<ul style="list-style-type: none">• Change in Tariff Chapter• Change in Tariff Heading• Change in Tariff Subheading- Regional Value Content	CC CTH CTSH RVC 40%, RVC 50%
(d) a good is produced entirely in the Party exclusively from originating materials of the Parties	PE
Also, exporters should indicate the following where applicable:	
(e) Goods which comply with Article 4.6 of the VCFTA	ACU
(f) Goods which comply with Article 4.9 of the VCFTA	DMI

Box 10: For each good indicate the quantity or gross weight

Box 11: Indicate the invoice number(s) and date(s) for each good. The invoice should be the one issued for the importation of the good into the importing Party.

Where invoices are issued by a third country, in accordance with Rule 17 of the Operational Certification Procedures, the “Non-Party Invoicing” box in box 5 should be ticked (✓). The number of invoices issued for the importation of goods into the importing Party should be indicated in box 11, and the full legal name and address of the company or person that issued the invoices shall be indicated in box 8.

In a case where the invoice number issued in a non-Party at the time of issuance of the Certificate of Origin is not known, Box 11 should be left blank.

Box 12: This Box should be completed, signed and dated by the exporter. The “Date” should be the date when the Certificate of Origin is applied for.

Box 13: This Box should be completed, dated, signed and stamped by the Issuing Authority of the exporting Party. The “Date” should be the date when the Certificate of Origin is issued.

1. Exporter's business name, address, country			4. Reference No.: _____ VIET NAM - CHILE Free Trade Agreement FORM VC Issued in <u>VIET NAM</u> (Country) (See Overleaf Notes)		
2. Consignee's name, address, country			For Official Use <input type="checkbox"/> Preferential Tariff Treatment Given under FTA <input type="checkbox"/> Preferential Tariff Treatment Not Given under FTA (please state reason(s)) Signature of Authorized Signatory of the Importing Country		
3. Means of transport and route (as far as known) Departure date: Vessel's name/Aircraft etc: Port of Discharge:			5. <input type="checkbox"/> Issued Retroactively <input type="checkbox"/> Non-Party Invoicing <input type="checkbox"/> Certified True Copy		
6. Item number	7. Marks and numbers of packages	8. Number and type of packages, description of goods (including HS code)	9. Origin criterion	10. Gross weight or quantity	11. Number and date of invoices
12.Declaration by the exporter: The undersigned, hereby declares that the above details and statement are correct; that all the goods were produced in (country) and that they comply with the origin requirements specified for these goods in the VCFTA Place and date, name, signature and company authorized signatory			13. Certification It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct. Place and date, signature and stamp of Issuing Authority		

OVERLEAF NOTES

For the purpose of claiming preferential tariff treatment, the document should be completed legibly and filled by the exporter. All items of the form should be completed in the English Language.

If the space of this document is insufficient to specify the necessary particulars for identifying the goods and other related information, the exporter may provide the information using additional Certificate of Origin.

Box 1: State the full name, address and country of the exporter.

Box 2: State the full name, address and country of the consignment.

Box 3: Provide the departure date, the name of vessel/aircraft and the name of the port of discharge, as far as known.

Box 4: State the country where the Certificate of Origin is issued.

Box 5:

- If the Certificate of Origin is issued Retroactively, the “Issued Retroactively” box should be ticked (✓)
- In case where invoices are issued by a non-Party, the “Non-Party invoicing” box should be ticked (✓)
- In case the Certificate of Origin is a duplicate of the original, in accordance with Rule 7, the “certified true copy” box should be ticked (✓).

Box 6: Provide the item number.

Box 7: Provide the marks and number of packages.

Box 8: Provide the number and type of packages, HS code and description of each good consigned. The HS code should be indicated at the six-digit level.

The description of the good on a Certificate of Origin should be substantially identical to the description on the invoice and, if possible to the description under HS code for the good.

Box 9: For the goods that meet the origin criterion, the exporter must indicate the origin criterion met, in the manner shown in the following table:

Description of Criterion	Criterion (Insert in Box 9)
(a) a good is wholly obtained or produced in the Party as set out and defined in Article 4.3 of the VCFTA	WO
(b) a good satisfies paragraph 1 of Article 4.4 of the VCFTA	RVC 40% or CTH
(c) a good satisfies paragraph 3 of Article 4.4 of the VCFTA (i.e the Product Specific Rules) <ul style="list-style-type: none"> - Change in Tariff Classification <ul style="list-style-type: none"> • Change in Tariff Chapter • Change in Tariff Heading • Change in Tariff Subheading - Regional Value Content 	CC CTH CTSH RVC 40%, RVC 50%
(d) a good is produced entirely in the Party exclusively from originating materials of the Parties	PE
Also, exporters should indicate the following where applicable:	
(e) Goods which comply with Article 4.6 of the VCFTA	ACU
(f) Goods which comply with Article 4.9 of the VCFTA	DMI

Box 10: For each good indicate the quantity or gross weight

Box 11: Indicate the invoice number(s) and date(s) for each good. The invoice should be the one issued for the importation of the good into the importing Party.

Where invoices are issued by a third country, in accordance with Rule 17 of the Operational Certification Procedures, the “Non-Party Invoicing” box in box 5 should be ticked (✓). The number of invoices issued for the importation of goods into the importing Party should be indicated in box 11, and the full legal name and address of the company or person that issued the invoices shall be indicated in box 8.

In a case where the invoice number issued in a non-Party at the time of issuance of the Certificate of Origin is not known, Box 11 should be left blank.

Box 12: This Box should be completed, signed and dated by the exporter. The “Date” should be the date when the Certificate of Origin is applied for.

Box 13: This Box should be completed, dated, signed and stamped by the Issuing Authority of the exporting Party. The “Date” should be the date when the Certificate of Origin is issued.

1. Exporter's Name, Address and Country:	Certification No.	Number of page /	
2. Importer's Name or Consignee's Name (if applicable), Address and Country:	<p align="center">AGREEMENT BETWEEN THE SOCIALIST REPUBLIC OF VIET NAM AND JAPAN FOR AN ECONOMIC PARTNERSHIP</p> <p align="center">CERTIFICATE OF ORIGIN Form VJ</p> <p align="center"><u>Issued in Viet Nam</u></p>		
3. Transport details (means and route) (if known):			
4. Item number (as necessary); Marks and numbers; Number and kind of packages; HS code; Description of good(s):	5. Preference criteria	6. Weight or other quantity	7. Invoice number(s) and date(s)
8. Remarks:			
<p>9. Declaration by the exporter:</p> <p>I, the undersigned, declare that:</p> <ul style="list-style-type: none"> - the above details and statement are true and accurate. - the good(s) described above meet the condition(s) required for the issuance of this certificate; - the country of origin of the good(s) described above is VIET NAM <p>Place and Date: _____</p> <p>Signature: _____</p> <p>Name (printed): _____</p> <p>Company: _____</p>	<p>10. Certification</p> <p>It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct.</p> <p>Competent governmental authority or Designee office:</p> <p>Stamp: _____</p> <p>Place and Date: _____</p> <p>Name (printed): _____</p> <p>Signature: _____</p>		

Parties which accept this form for the purpose of preferential treatment under the Agreement between the Socialist Republic of Vietnam and Japan for an Economic Partnership are Japan and Vietnam.

General Conditions:

The conditions for the preferential tariff treatment under the Agreement are that the goods exported to Japan or Vietnam should:

- I. fall within description of goods eligible for concession in Vietnam or Japan;
- II. comply with the consignment conditions in accordance with Article 31 of the Agreement; and
- III. comply with the preference criteria provided for in Chapter 3 of the Agreement .

Instructions for Certificate of Origin:

For the purposes of claiming preferential tariff treatment, the CO should be completed legibly and in full by the exporter or its authorized agent and certificated by the competent governmental authority or its designee. Any item in the CO should be completed in the English language. The CO should be no longer valid, if it is modified after the issuance.

If the space of the form of the CO is insufficient to specify the necessary particulars for identifying the goods and other related information, the exporter or its authorized agent may provide the information using additional Appendix 1-A. In that case, every additional Appendix 1-A of the Implementing Regulations should be completed legibly and in full by the exporter or its authorized agent and certificated by the competent governmental authority or its designee.

Box 1: State the full name, address and country of the exporter.

Box 2: State the full name, address and country of the importer. As defined in subparagraph (g) of Article 23 of the Agreement, "importer" means a natural or juridical person who imports a good into the importing Party (e.g. the consignee who declares the importation).

Box 3: Provide the name of loading port, transit port and discharging port, and the name of vessel or flight number, if known. In case of retroactive issuance, the date of shipment (i.e. bill of lading or airway bill date)

Box 4: Provide item number (as necessary), marks and numbers, number and kind of packages, HS code as amended on January 1, 2007, and description of each good consigned.

For each good, the HS code should be indicated at the six-digit level (or a more detailed level as is established by the importing Party if required by the exporting Party).

The description of the good on a CO should be substantially identical to the description on the invoice and , if possible, to the description under the HS for the good.

With respect to subheading 0910.99, 1515.90, 2208.90 and 9404.90, in an exceptional case where the good is a good requiring a specific description (e.g. curry, tung oil and its fractions), such description of specific products should be indicated.

With respect to each good of Chapter 50 through 63 of the HS, the materials of the other Party or non-Parties which are member countries of the ASEAN, the process or operation conducted in such Party or non-Parties, and the names of such Party or non-Parties should be indicated (if such materials were used in the production of the good).

Box 5: For goods that meet the preference criteria, the exporter or its authorized agent should indicate in box 5 of this form , the preference criteria met, in the manner shown in the following table or any combination of acronym contained therein:

Circumstances of production or manufacture in the Party named in box 9 of this form	Insert in box 5
(a) Wholly obtained goods satisfying Article 25 of the Agreement	"WO"
(b) Goods satisfying paragraph 1 of Article 26 of the Agreement	"CTH" or "LVC"
(c) Goods satisfying paragraph 2 of Article 26 of the Agreement <ul style="list-style-type: none">- Change in Tariff Classification- Local Value Content- Specific Manufacturing or Processing Operation	"CTC" "LVC" "SP"
(d) Goods satisfying subparagraph (c) of Article 24 of the Agreement	"PE"
Also, exporters should indicate the following where applicable:	
(e) Should goods comply with Article 28 of the Agreement	"DMI"
(f) Should goods comply with Article 29 of the Agreement	"ACU"
(g) Should goods comply with Article 35 of the Agreement	"IIM"

Box 6: For each good, indicate the weight or other quantity (e.g. gross weight or net weight).

Box 7: Indicate the invoice number and date for each good. The invoice should be the one issued for the importation of the good into the importing Party.

If the invoice is issued by a person different from the exporter to whom the CO is issued and the person who issues the invoice is located in a non-Party, it should be indicated in box 8 that the goods will be invoiced in a non-Party, identifying the full legal name and address of the person that issues the invoice.

In an exceptional case where the number of the invoice issued in a non-Party is not known at the time of issuance of the CO, the invoice number and the date of the invoice issued by the exporter to whom the CO is issued should be indicated in box7, and it should be indicated in box 8 that the goods will be subject to another invoice to be issued in a non-Party for the importation into the importing Party, identifying the full legal name and address of the person that will issue such other invoice. In such case, the customs authority of the importing Party may require the importer to provide the invoices and any other relevant documents which confirm the transaction, from the exporting Party to the importing Party, with regard to the goods declared for import.

Box 8: If the CO is issued retroactively, the competent governmental authority or its designee should indicate "Issued Retroactively". If the CO is newly issued in accordance with Rule 4(b)(ii) and 5(a) of the Implementing Regulations, the competent governmental authority or its designee should indicate the date of issuance and the certification number of the original CO. In cases of Certified True Copies, in accordance with Rule 5 (b) of the Implementing Regulations, the words "CERTIFIED TRUE COPY" should be indicated in box 8. Other remarks as necessary.

Box 9: This box should be completed, signed and dated by the exporter or its authorized agent. "Date" should be the date when the CO is applied for.

Note: The exporter's or its authorized agent's signature may be autographed or electronically printed.

Box 10: This box should be completed, dated, signed and stamped by the competent governmental authority of the exporting Party or its designee.

Note: The competent governmental authority's or its designee's signature may be autographed or electronically printed. Official seals or impression of stamps on a CO of the competent governmental authority of the exporting Party or its designees may be manually put or electronically printed.

Notice 1: Any items entered in this form should be true and correct. False declaration or documents relating to the CO should be subject to penalty in accordance with the laws and regulations of the exporting Party.

Notice 2: The CO should be a basis of determination of origin at the customs authority of the importing Party.

1. Exporter's Name, Address and Country:	Certification No.		Number of page /
	<p align="center">AGREEMENT BETWEEN THE SOCIALIST REPUBLIC OF VIET NAM AND JAPAN FOR AN ECONOMIC PARTNERSHIP</p> <p align="center">CERTIFICATE OF ORIGIN Form VJ</p> <p align="center"><u>Issued in Viet Nam</u></p>		
2. Importer's Name or Consignee's Name (if applicable), Address and Country:			
3. Transport details (means and route) (if known):			
4. Item number (as necessary); Marks and numbers; Number and kind of packages; HS code; Description of good(s):	5. Preference criteria	6. Weight or other quantity	7. Invoice number(s) and date(s)
8. Remarks:			
<p>9. Declaration by the exporter:</p> <p>I, the undersigned, declare that:</p> <ul style="list-style-type: none"> - the above details and statement are true and accurate. - the good(s) described above meet the condition(s) required for the issuance of this certificate; - the country of origin of the good(s) described above is VIET NAM <p>Place and Date: _____</p> <p>Signature: _____</p> <p>Name (printed): _____</p> <p>Company: _____</p>	<p>10. Certification</p> <p>It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct.</p> <p>Competent governmental authority or Designee office:</p> <p>_____</p> <p>Stamp: _____</p> <p>Place and Date: _____</p> <p>Name (printed): _____</p> <p>Signature: _____</p>		

Parties which accept this form for the purpose of preferential treatment under the Agreement between the Socialist Republic of Vietnam and Japan for an Economic Partnership are Japan and Vietnam.

General Conditions:

The conditions for the preferential tariff treatment under the Agreement are that the goods exported to Japan or Vietnam should:

- I. fall within description of goods eligible for concession in Vietnam or Japan;
- II. comply with the consignment conditions in accordance with Article 31 of the Agreement; and
- III. comply with the preference criteria provided for in Chapter 3 of the Agreement .

Instructions for Certificate of Origin:

For the purposes of claiming preferential tariff treatment, the CO should be completed legibly and in full by the exporter or its authorized agent and certificated by the competent governmental authority or its designee. Any item in the CO should be completed in the English language. The CO should be no longer valid, if it is modified after the issuance.

If the space of the form of the CO is insufficient to specify the necessary particulars for identifying the goods and other related information, the exporter or its authorized agent may provide the information using additional Appendix 1-A. In that case, every additional Appendix 1-A of the Implementing Regulations should be completed legibly and in full by the exporter or its authorized agent and certificated by the competent governmental authority or its designee.

Box 1: State the full name, address and country of the exporter.

Box 2: State the full name, address and country of the importer. As defined in subparagraph (g) of Article 23 of the Agreement, "importer" means a natural or juridical person who imports a good into the importing Party (e.g. the consignee who declares the importation).

Box 3: Provide the name of loading port, transit port and discharging port, and the name of vessel or flight number, if known. In case of retroactive issuance, the date of shipment (i.e. bill of lading or airway bill date)

Box 4: Provide item number (as necessary), marks and numbers, number and kind of packages, HS code as amended on January 1, 2007, and description of each good consigned.

For each good, the HS code should be indicated at the six-digit level (or a more detailed level as is established by the importing Party if required by the exporting Party).

The description of the good on a CO should be substantially identical to the description on the invoice and , if possible, to the description under the HS for the good.

With respect to subheading 0910.99, 1515.90, 2208.90 and 9404.90, in an exceptional case where the good is a good requiring a specific description (e.g. curry, tung oil and its fractions), such description of specific products should be indicated.

With respect to each good of Chapter 50 through 63 of the HS, the materials of the other Party or non-Parties which are member countries of the ASEAN, the process or operation conducted in such Party or non-Parties, and the names of such Party or non-Parties should be indicated (if such materials were used in the production of the good).

Box 5: For goods that meet the preference criteria, the exporter or its authorized agent should indicate in box 5 of this form , the preference criteria met, in the manner shown in the following table or any combination of acronym contained therein:

Circumstances of production or manufacture in the Party named in box 9 of this form	Insert in box 5
(b) Wholly obtained goods satisfying Article 25 of the Agreement	"WO"
(b) Goods satisfying paragraph 1 of Article 26 of the Agreement	"CTH" or "LVC"
(c) Goods satisfying paragraph 2 of Article 26 of the Agreement <ul style="list-style-type: none">- Change in Tariff Classification- Local Value Content- Specific Manufacturing or Processing Operation	"CTC" "LVC" "SP"
(d) Goods satisfying subparagraph (c) of Article 24 of the Agreement	"PE"
Also, exporters should indicate the following where applicable:	
(e) Should goods comply with Article 28 of the Agreement	"DMI"
(f) Should goods comply with Article 29 of the Agreement	"ACU"
(g) Should goods comply with Article 35 of the Agreement	"IIM"

Box 6: For each good, indicate the weight or other quantity (e.g. gross weight or net weight).

Box 7: Indicate the invoice number and date for each good. The invoice should be the one issued for the importation of the good into the importing Party.

If the invoice is issued by a person different from the exporter to whom the CO is issued and the person who issues the invoice is located in a non-Party, it should be indicated in box 8 that the goods will be invoiced in a non-Party, identifying the full legal name and address of the person that issues the invoice.

In an exceptional case where the number of the invoice issued in a non-Party is not known at the time of issuance of the CO, the invoice number and the date of the invoice issued by the exporter to whom the CO is issued should be indicated in box7, and it should be indicated in box 8 that the goods will be subject to another invoice to be issued in a non-Party for the importation into the importing Party, identifying the full legal name and address of the person that will issue such other invoice. In such case, the customs authority of the importing Party may require the importer to provide the invoices and any other relevant documents which confirm the transaction, from the exporting Party to the importing Party, with regard to the goods declared for import.

Box 8: If the CO is issued retroactively, the competent governmental authority or its designee should indicate "Issued Retroactively". If the CO is newly issued in accordance with Rule 4(b)(ii) and 5(a) of the Implementing Regulations, the competent governmental authority or its designee should indicate the date of issuance and the certification number of the original CO. In cases of Certified True Copies, in accordance with Rule 5 (b) of the Implementing Regulations, the words "CERTIFIED TRUE COPY" should be indicated in box 8. Other remarks as necessary.

Box 9: This box should be completed, signed and dated by the exporter or its authorized agent. "Date" should be the date when the CO is applied for.

Note: The exporter's or its authorized agent's signature may be autographed or electronically printed.

Box 10: This box should be completed, dated, signed and stamped by the competent governmental authority of the exporting Party or its designee.

Note: The competent governmental authority's or its designee's signature may be autographed or electronically printed. Official seals or impression of stamps on a CO of the competent governmental authority of the exporting Party or its designees may be manually put or electronically printed.

Notice 1: Any items entered in this form should be true and correct. False declaration or documents relating to the CO should be subject to penalty in accordance with the laws and regulations of the exporting Party.

Notice 2: The CO should be a basis of determination of origin at the customs authority of the importing Party.

1. Exporter's Name, Address and Country:	Certification No.	Number of page /	
	<p align="center">AGREEMENT BETWEEN THE SOCIALIST REPUBLIC OF VIET NAM AND JAPAN FOR AN ECONOMIC PARTNERSHIP</p> <p align="center">CERTIFICATE OF ORIGIN Form VJ</p> <p align="center"><u>Issued in Viet Nam</u></p>		
2. Importer's Name or Consignee's Name (if applicable), Address and Country:			
3. Transport details (means and route) (if known):			
4. Item number (as necessary); Marks and numbers; Number and kind of packages; HS code; Description of good(s):	5. Preference criteria	6. Weight or other quantity	7. Invoice number(s) and date(s)
8. Remarks:			
<p>9. Declaration by the exporter:</p> <p>I, the undersigned, declare that:</p> <ul style="list-style-type: none"> - the above details and statement are true and accurate. - the good(s) described above meet the condition(s) required for the issuance of this certificate; - the country of origin of the good(s) described above is VIET NAM <p>Place and Date: _____</p> <p>Signature: _____</p> <p>Name (printed): _____</p> <p>Company: _____</p>	<p>10. Certification</p> <p>It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct.</p> <p>Competent governmental authority or Designee office:</p> <p>Stamp: _____</p> <p>Place and Date: _____</p> <p>Name (printed): _____</p> <p>Signature: _____</p>		

Parties which accept this form for the purpose of preferential treatment under the Agreement between the Socialist Republic of Vietnam and Japan for an Economic Partnership are Japan and Vietnam.

General Conditions:

The conditions for the preferential tariff treatment under the Agreement are that the goods exported to Japan or Vietnam should:

- I. fall within description of goods eligible for concession in Vietnam or Japan;
- II. comply with the consignment conditions in accordance with Article 31 of the Agreement; and
- III. comply with the preference criteria provided for in Chapter 3 of the Agreement .

Instructions for Certificate of Origin:

For the purposes of claiming preferential tariff treatment, the CO should be completed legibly and in full by the exporter or its authorized agent and certificated by the competent governmental authority or its designee. Any item in the CO should be completed in the English language. The CO should be no longer valid, if it is modified after the issuance.

If the space of the form of the CO is insufficient to specify the necessary particulars for identifying the goods and other related information, the exporter or its authorized agent may provide the information using additional Appendix 1-A. In that case, every additional Appendix 1-A of the Implementing Regulations should be completed legibly and in full by the exporter or its authorized agent and certificated by the competent governmental authority or its designee.

Box 1: State the full name, address and country of the exporter.

Box 2: State the full name, address and country of the importer. As defined in subparagraph (g) of Article 23 of the Agreement, "importer" means a natural or juridical person who imports a good into the importing Party (e.g. the consignee who declares the importation).

Box 3: Provide the name of loading port, transit port and discharging port, and the name of vessel or flight number, if known. In case of retroactive issuance, the date of shipment (i.e. bill of lading or airway bill date)

Box 4: Provide item number (as necessary), marks and numbers, number and kind of packages, HS code as amended on January 1, 2007, and description of each good consigned.

For each good, the HS code should be indicated at the six-digit level (or a more detailed level as is established by the importing Party if required by the exporting Party).

The description of the good on a CO should be substantially identical to the description on the invoice and , if possible, to the description under the HS for the good.

With respect to subheading 0910.99, 1515.90, 2208.90 and 9404.90, in an exceptional case where the good is a good requiring a specific description (e.g. curry, tung oil and its fractions), such description of specific products should be indicated.

With respect to each good of Chapter 50 through 63 of the HS, the materials of the other Party or non-Parties which are member countries of the ASEAN, the process or operation conducted in such Party or non-Parties, and the names of such Party or non-Parties should be indicated (if such materials were used in the production of the good).

Box 5: For goods that meet the preference criteria, the exporter or its authorized agent should indicate in box 5 of this form , the preference criteria met, in the manner shown in the following table or any combination of acronym contained therein:

Circumstances of production or manufacture in the Party named in box 9 of this form	Insert in box 5
(c) Wholly obtained goods satisfying Article 25 of the Agreement	"WO"
(b) Goods satisfying paragraph 1 of Article 26 of the Agreement	"CTH" or "LVC"
(c) Goods satisfying paragraph 2 of Article 26 of the Agreement <ul style="list-style-type: none">- Change in Tariff Classification- Local Value Content- Specific Manufacturing or Processing Operation	"CTC" "LVC" "SP"
(d) Goods satisfying subparagraph (c) of Article 24 of the Agreement	"PE"
Also, exporters should indicate the following where applicable:	
(e) Should goods comply with Article 28 of the Agreement	"DMI"
(f) Should goods comply with Article 29 of the Agreement	"ACU"
(g) Should goods comply with Article 35 of the Agreement	"IIM"

Box 6: For each good, indicate the weight or other quantity (e.g. gross weight or net weight).

Box 7: Indicate the invoice number and date for each good. The invoice should be the one issued for the importation of the good into the importing Party.

If the invoice is issued by a person different from the exporter to whom the CO is issued and the person who issues the invoice is located in a non-Party, it should be indicated in box 8 that the goods will be invoiced in a non-Party, identifying the full legal name and address of the person that issues the invoice.

In an exceptional case where the number of the invoice issued in a non-Party is not known at the time of issuance of the CO, the invoice number and the date of the invoice issued by the exporter to whom the CO is issued should be indicated in box7, and it should be indicated in box 8 that the goods will be subject to another invoice to be issued in a non-Party for the importation into the importing Party, identifying the full legal name and address of the person that will issue such other invoice. In such case, the customs authority of the importing Party may require the importer to provide the invoices and any other relevant documents which confirm the transaction, from the exporting Party to the importing Party, with regard to the goods declared for import.

Box 8: If the CO is issued retroactively, the competent governmental authority or its designee should indicate "Issued Retroactively". If the CO is newly issued in accordance with Rule 4(b)(ii) and 5(a) of the Implementing Regulations, the competent governmental authority or its designee should indicate the date of issuance and the certification number of the original CO. In cases of Certified True Copies, in accordance with Rule 5 (b) of the Implementing Regulations, the words "CERTIFIED TRUE COPY" should be indicated in box 8. Other remarks as necessary.

Box 9: This box should be completed, signed and dated by the exporter or its authorized agent. "Date" should be the date when the CO is applied for.

Note: The exporter's or its authorized agent's signature may be autographed or electronically printed.

Box 10: This box should be completed, dated, signed and stamped by the competent governmental authority of the exporting Party or its designee.

Note: The competent governmental authority's or its designee's signature may be autographed or electronically printed. Official seals or impression of stamps on a CO of the competent governmental authority of the exporting Party or its designees may be manually put or electronically printed.

Notice 1: Any items entered in this form should be true and correct. False declaration or documents relating to the CO should be subject to penalty in accordance with the laws and regulations of the exporting Party.

Notice 2: The CO should be a basis of determination of origin at the customs authority of the importing Party.

1. Goods consigned from (Exporter's business name, address, country)			Reference No. VIET NAM-KOREA FREE TRADE AGREEMENT PREFERENTIAL TARIFF CERTIFICATE OF ORIGIN (Combined Declaration and Certificate) FORM VK Issued in <u>VIET NAM</u> (Country) See Notes Overleaf		
2. Goods consigned to (Consignee's name, address, country)					
3. Means of transport and route (as far as known) Departure date Vessel's name/Aircraft etc. Port of Discharge			4. For Official Use <input type="checkbox"/> Preferential Tariff Treatment Given Under Viet Nam-Korea Free Trade Agreement <input type="checkbox"/> Preferential Tariff Treatment Not Given (Please state reason/s) Signature of Authorized Signatory of the Importing Country		
5. Item number	6. Marks and numbers on packages	7. Number and type of packages, description of goods (including quantity where appropriate and HS code of the good in the importing country)	8. Origin criterion (see Overleaf Notes)	9. Gross weight or other quantity and value (FOB only when RVC criterion is used)	10. Number and date of Invoices
11. Declaration by the exporter The undersigned hereby declares that the above details and statement are correct; that all the goods were produced in (Country) and that they comply with the origin requirements specified for these goods in the Viet Nam- Korea Free Trade Agreement for the goods exported to (Importing Country) Place and date, signature of authorized signatory			12. Certification It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct. Place and date, signature and stamp of certifying authority		
13. Remarks					

OVERLEAF NOTES

1. The Parties which accept this Form for the purposes of preferential tariff treatment under the Viet Nam-Korea Free Trade Agreement (VKFTA):

THE SOCIALIST REPUBLIC OF VIET NAM

THE REPUBLIC OF KOREA

2. CONDITIONS: To enjoy preferential tariff under the VKFTA, goods sent to any Party listed above shall:

- (i) fall within a description of goods eligible for concessions in the country of destination;
- (ii) comply with the transportation conditions in accordance with Article 3.8 (Direct Transport) of the VKFTA; and
- (iii) comply with the origin criteria in Chapter 3 (Rules of Origin and Origin Procedures) of the VKFTA.

3. ORIGIN CRITERIA: For goods that meet the origin criteria, the exporter or producer must indicate in box 8 of this Form, the origin criteria met, in the manner shown in the following table:

Circumstances of production or manufacture in the exporting party named in box 11 of this Form	Insert in Box 8
(a) Goods wholly obtained or produced in the territory of the exporting Party	"WO"
(b) Goods satisfying the Product Specific Rules <ul style="list-style-type: none">- Change in Tariff Classification- Regional Value Content- Regional Value Content + Change in Tariff Classification- Specific Processes	<ul style="list-style-type: none">- "CTC"- "RVC" that needs to be met for the good to qualify as originating; e.g. "RVC 45%"- The combination rule that needs to be met for good to qualify as originating; e.g. "CTH + RVC 40%" "Specific Processes"
(c) Goods produced entirely in the territory of the exporting Party exclusively from originating materials	"PE"
(d) Goods satisfying Article 3.5	"Article 3.5"

4. EACH ARTICLE MUST QUALIFY: It should be noted that all the goods in a consignment must qualify separately in their own right. This is of particular relevance when similar articles of different sizes or spare parts are sent.
5. DESCRIPTION OF GOODS: The description of goods must be sufficiently detailed to enable the goods to be identified by the customs officers examining them. Any trade mark shall also be specified.
6. FREE-ON-BOARD (FOB) VALUE: The FOB value in box 9 shall be reflected only when the Regional Value Content criterion is applied in determining the origin of goods.
7. HARMONIZED SYSTEM CODE: The Harmonized System code shall be that of the importing Party.
8. EXPORTER: The term "exporter" in box 11 may include the manufacturer or the producer.
9. FOR OFFICIAL USE: The customs authority of the importing Party must indicate (✓) in the relevant boxes in box 4 whether or not preferential tariff treatment is accorded.
10. REMARKS IN BOX 13:
- (i) In cases where invoices are issued by a non-party, "Non-Party Invoicing" should be recorded and such information as name and country of the company issuing the invoice shall be indicated;
 - (ii) Other remarks should be recorded as necessary.

1. Goods consigned from (Exporter's business name, address, country)			Reference No. VIET NAM-KOREA FREE TRADE AGREEMENT PREFERENTIAL TARIFF CERTIFICATE OF ORIGIN (Combined Declaration and Certificate) FORM VK Issued in <u>VIET NAM</u> (Country) See Notes Overleaf		
2. Goods consigned to (Consignee's name, address, country)					
3. Means of transport and route (as far as known) Departure date Vessel's name/Aircraft etc. Port of Discharge			4. For Official Use <div style="margin-bottom: 10px;"> <input type="checkbox"/> Preferential Tariff Treatment Given Under Viet Nam-Korea Free Trade Agreement </div> <div> <input type="checkbox"/> Preferential Tariff Treatment Not Given (Please state reason/s) </div> <div style="text-align: center; margin-top: 20px;"> Signature of Authorized Signatory of the Importing Country </div>		
5. Item number	6. Marks and numbers on packages	7. Number and type of packages, description of goods (including quantity where appropriate and HS code of the good in the importing country)	8. Origin criterion (see Overleaf Notes)	9. Gross weight or other quantity and value (FOB only when RVC criterion is used)	10. Number and date of Invoices
11. Declaration by the exporter The undersigned hereby declares that the above details and statement are correct; that all the goods were produced in (Country) and that they comply with the origin requirements specified for these goods in the Viet Nam- Korea Free Trade Agreement for the goods exported to (Importing Country) Place and date, signature of authorized signatory			12. Certification It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct. Place and date, signature and stamp of certifying authority		
13. Remarks					

OVERLEAF NOTES

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- (ii) comply with the transportation conditions in accordance with Article 3.8 (Direct Transport) of the VKFTA; and
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Circumstances of production or manufacture in the exporting party named in box 11 of this Form	Insert in Box 8
(a) Goods wholly obtained or produced in the territory of the exporting Party	"WO"
(b) Goods satisfying the Product Specific Rules <ul style="list-style-type: none">- Change in Tariff Classification- Regional Value Content- Regional Value Content + Change in Tariff Classification- Specific Processes	<ul style="list-style-type: none">- "CTC"- "RVC" that needs to be met for the good to qualify as originating; e.g. "RVC 45%"- The combination rule that needs to be met for good to qualify as originating; e.g. "CTH + RVC 40%" "Specific Processes"
(c) Goods produced entirely in the territory of the exporting Party exclusively from originating materials	"PE"
(d) Goods satisfying Article 3.5	"Article 3.5"

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5. DESCRIPTION OF GOODS: The description of goods must be sufficiently detailed to enable the goods to be identified by the customs officers examining them. Any trade mark shall also be specified.
6. FREE-ON-BOARD (FOB) VALUE: The FOB value in box 9 shall be reflected only when the Regional Value Content criterion is applied in determining the origin of goods.
7. HARMONIZED SYSTEM CODE: The Harmonized System code shall be that of the importing Party.
8. EXPORTER: The term "exporter" in box 11 may include the manufacturer or the producer.
9. FOR OFFICIAL USE: The customs authority of the importing Party must indicate (✓) in the relevant boxes in box 4 whether or not preferential tariff treatment is accorded.
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 - (ii) Other remarks should be recorded as necessary.

1. Goods consigned from (Exporter's business name, address, country)			Reference No. <div style="text-align: center;"> VIET NAM-KOREA FREE TRADE AGREEMENT PREFERENTIAL TARIFF CERTIFICATE OF ORIGIN (Combined Declaration and Certificate) FORM VK Issued in <u>VIET NAM</u> (Country) See Notes Overleaf </div>		
2. Goods consigned to (Consignee's name, address, country)			4. For Official Use <div style="margin-bottom: 10px;"> <input type="checkbox"/> Preferential Tariff Treatment Given Under Viet Nam-Korea Free Trade Agreement </div> <div> <input type="checkbox"/> Preferential Tariff Treatment Not Given (Please state reason/s) </div> <div style="text-align: center; margin-top: 20px;"> Signature of Authorized Signatory of the Importing Country </div>		
3. Means of transport and route (as far as known) <div style="margin-top: 20px;">Departure date</div> <div style="margin-top: 20px;">Vessel's name/Aircraft etc.</div> <div style="margin-top: 20px;">Port of Discharge</div>					
5. Item number	6. Marks and numbers on packages	7. Number and type of packages, description of goods (including quantity where appropriate and HS code of the good in the importing country)	8. Origin criterion (see Overleaf Notes)	9. Gross weight or other quantity and value (FOB only when RVC criterion is used)	10. Number and date of Invoices
11. Declaration by the exporter The undersigned hereby declares that the above details and statement are correct; that all the goods were produced in <div style="text-align: center;"> (Country) </div> and that they comply with the origin requirements specified for these goods in the Viet Nam- Korea Free Trade Agreement for the goods exported to <div style="text-align: center;"> (Importing Country) </div> <div style="text-align: center; margin-top: 20px;"> Place and date, signature of authorized signatory </div>			12. Certification It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct. <div style="text-align: center; margin-top: 20px;"> Place and date, signature and stamp of certifying authority </div>		
13. Remarks					

OVERLEAF NOTES

1. The Parties which accept this Form for the purposes of preferential tariff treatment under the Viet Nam-Korea Free Trade Agreement (VKFTA):

THE SOCIALIST REPUBLIC OF VIET NAM

THE REPUBLIC OF KOREA

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- (i) fall within a description of goods eligible for concessions in the country of destination;
- (ii) comply with the transportation conditions in accordance with Article 3.8 (Direct Transport) of the VKFTA; and
- (iii) comply with the origin criteria in Chapter 3 (Rules of Origin and Origin Procedures) of the VKFTA.

3. ORIGIN CRITERIA: For goods that meet the origin criteria, the exporter or producer must indicate in box 8 of this Form, the origin criteria met, in the manner shown in the following table:

Circumstances of production or manufacture in the exporting party named in box 11 of this Form	Insert in Box 8
(a) Goods wholly obtained or produced in the territory of the exporting Party	"WO"
(b) Goods satisfying the Product Specific Rules <ul style="list-style-type: none">- Change in Tariff Classification- Regional Value Content- Regional Value Content + Change in Tariff Classification- Specific Processes	<ul style="list-style-type: none">- "CTC"- "RVC" that needs to be met for the good to qualify as originating; e.g. "RVC 45%"- The combination rule that needs to be met for good to qualify as originating; e.g. "CTH + RVC 40%" "Specific Processes"
(c) Goods produced entirely in the territory of the exporting Party exclusively from originating materials	"PE"
(d) Goods satisfying Article 3.5	"Article 3.5"

4. EACH ARTICLE MUST QUALIFY: It should be noted that all the goods in a consignment must qualify separately in their own right. This is of particular relevance when similar articles of different sizes or spare parts are sent.
5. DESCRIPTION OF GOODS: The description of goods must be sufficiently detailed to enable the goods to be identified by the customs officers examining them. Any trade mark shall also be specified.
6. FREE-ON-BOARD (FOB) VALUE: The FOB value in box 9 shall be reflected only when the Regional Value Content criterion is applied in determining the origin of goods.
7. HARMONIZED SYSTEM CODE: The Harmonized System code shall be that of the importing Party.
8. EXPORTER: The term "exporter" in box 11 may include the manufacturer or the producer.
9. FOR OFFICIAL USE: The customs authority of the importing Party must indicate (✓) in the relevant boxes in box 4 whether or not preferential tariff treatment is accorded.
10. REMARKS IN BOX 13:
- (i) In cases where invoices are issued by a non-party, "Non-Party Invoicing" should be recorded and such information as name and country of the company issuing the invoice shall be indicated;
 - (ii) Other remarks should be recorded as necessary.

(Additional Page)

Reference No.

5. Item number	6. Marks and numbers on packages	7. Number and type of packages, description of goods (including quantity where appropriate and HS code of the good in the importing country)	8. Origin criterion (see Overleaf Notes)	9. Gross weight or other quantity and value (FOB only when RVC criterion is used)	10. Number and date of invoices
11. Declaration by the exporter The undersigned hereby declares that the above details and statement are correct; that all the goods were produced in (Country) and that they comply with the origin requirements specified for these goods in the Viet Nam- Korea Free Trade Agreement for the goods exported to (Importing Country) Place and date, signature of authorized signatory			12. Certification It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct. Place and date, signature and stamp of certifying authority		
13. Remarks					

(Additional Page)

Reference No.

5. Item number	6. Marks and numbers on packages	7. Number and type of packages, description of goods (including quantity where appropriate and HS code of the good in the importing country)	8. Origin criterion (see Overleaf Notes)	9. Gross weight or other quantity and value (FOB only when RVC criterion is used)	10. Number and date of invoices
<div>11. Declaration by the exporter</div> <div>The undersigned hereby declares that the above details and statement are correct; that all the goods were produced in</div> <div>.....</div> <div>(Country)</div> <div>and that they comply with the origin requirements specified for these goods in the Viet Nam- Korea Free Trade Agreement for the goods exported to</div> <div>.....</div> <div>(Importing Country)</div> <div>.....</div> <div>Place and date, signature of authorized signatory</div>			<div>12. Certification</div> <div>It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct.</div> <div>.....</div> <div>Place and date, signature and stamp of certifying authority</div>		
<div>13. Remarks</div>					

(Additional Page)

Reference No.

5. Item number	6. Marks and numbers on packages	7. Number and type of packages, description of goods (including quantity where appropriate and HS code of the good in the importing country)	8. Origin criterion (see Overleaf Notes)	9. Gross weight or other quantity and value (FOB only when RVC criterion is used)	10. Number and date of invoices
<div>11. Declaration by the exporter</div> <div>The undersigned hereby declares that the above details and statement are correct; that all the goods were produced in</div> <div>.....</div> <div>(Country)</div> <div>and that they comply with the origin requirements specified for these goods in the Viet Nam- Korea Free Trade Agreement for the goods exported to</div> <div>.....</div> <div>(Importing Country)</div> <div>.....</div> <div>Place and date, signature of authorized signatory</div>			<div>12. Certification</div> <div>It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct.</div> <div>.....</div> <div>Place and date, signature and stamp of certifying authority</div>		
<div>13. Remarks</div>					

Original (Duplicate/Triplicate)

1. Goods consigned from (Exporter's business name, address, country)			4. Reference No. <div style="text-align: center;">VIET NAM - CUBA TRADE AGREEMENT CERTIFICATE OF ORIGIN FORM VN-CU</div> <div style="text-align: center;"> Issued in: _____ (Country) </div> <div style="text-align: center;">(See Overleaf Notes)</div>		
2. Goods consigned to (Consignee's name, address, country)			5. For Official Use (Customs) <input type="checkbox"/> Preferential Tariff Treatment Given Under VIET NAM – CUBA Trade Agreement <input type="checkbox"/> Preferential Tariff Treatment Not Given (Please state reason(s)) <hr style="border-top: 1px dotted black;"/> <i>Signature of Authorised Signatory of the Importing Country</i>		
3. Means of transport and route (As far as known)					
6. Item number	7. Harmonized System code	8. Description of goods, marks and numbers on packages, type of packages	9. Origin criterion (See Overleaf Notes)	10. Gross weight or other quantity	11. Number and date (DD/MM/YYYY) of invoices
12. Remarks					
13. Declaration by the exporter The undersigned hereby declares that the above details and statement are correct; that all the goods were produced in <div style="text-align: center;"> (Country) </div> and that they comply with the origin requirements specified for these goods in the Viet Nam - Cuba Trade Agreement for the goods exported to <div style="text-align: center;"> (Importing country) </div> <div style="text-align: center; margin-top: 20px;"> <i>Place, date and signature of authorised signatory</i> </div>			14. Certification It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct. <div style="text-align: center; margin-top: 20px;"> <i>Place, date, signature and stamp of certifying authority</i> </div>		
<div style="display: flex; justify-content: space-between;"> <div> 15. <input type="checkbox"/> Non-Party Invoicing <input type="checkbox"/> De Minimis </div> <div> <input type="checkbox"/> Certified True Copy <input type="checkbox"/> Set of Goods </div> <div> <input type="checkbox"/> Accumulation </div> </div>					

OVERLEAF NOTES

The Certificate of Origin and its additional sheets must be in conformity with the specimen shown in this Annex. It shall be made in the English language. For Viet Nam, they must be on ISO A4 size colour paper. For Cuba, they can be printed on any paper size subject to specific Cuba conditions.

For the purposes of requesting preferential tariff treatment, this form must be clearly completed by the exporter.

If the space in this form is insufficient to specify the necessary details to identify goods and any other related information, the exporter may provide information through additional forms of Certificate of Origin. Unused spaces in boxes 6 through 11 shall be crossed out or add “ *** ” (three stars) to prevent any subsequent addition.

Box 1: Business name, address and country of the exporter. It may include the manufacturer’s or the producer’s details/contacts.

Box 2: Name, address and country of the consignee.

Box 3: Means of transport and route as well as port of charge, transit, port of discharge, date of dispatch, vessel’s name or flight number (as far as known).

Box 4: Details of unique reference number, issuing country.

Box 5: Importing Party’s customs use.

Box 6: Item number. All the goods in a consignment must qualify separately in their own right. This is of particular relevance when similar articles of different sizes or spare parts are sent.

Box 7: Harmonized System code: The six-digit code of the Harmonized Commodity Description and Coding System defined in the International Convention on the Harmonized Commodity Description and Coding System, including all legal notes thereto, as in force and as amended from time to time.

Box 8: Description of the good must correspond, in general terms, to the description for the good under the Harmonized System code indicated in box 7. The description of the goods must be detailed enough to enable the goods to be identified by the customs officers examining them.

Box 9: For goods that meet the origin criteria, the exporter and/or producer must indicate the origin criteria met, as shown in the following table:

Origin criterion	Insert in Box 9
(a) Goods satisfying Article 3.3 (Originating Goods) of Chapter 3 (Rules of Origin): <ul style="list-style-type: none">- wholly obtained- or produced entirely in the territory of either Party	WO PE
(b) Goods satisfying Article 3.3 (Originating Goods) of Chapter 3 (Rules of Origin): <ul style="list-style-type: none">- Regional Value Content- Change in Tariff Classification- Product Specific Rules	Percentage of Viet Nam-Cuba value content, for example: RVC (40%) CTC As listed in the PSR (Annex 3-A)

Box 10: Quantity or gross weight of goods.

Box 11: Indicate invoice number(s) and date(s) for each good. In case the goods are invoiced by a non-Party operator, the number and date of the invoice issued by the non-Party operator (if known) can also be indicated.

Box 12: Remarks. This box is for additional information (if any):

- In case of a non-Party invoice, indicate name, address and legal office (including city and country) of the non-Party operator issuing the invoice (if known).
- In case of a new Certificate of Origin issued to replace a wrong certificate (Article 3.23 (Correction of a Certificate of Origin)), indicate the word “Replace C/O number”, then the reference number and the date of issue of the original Certificate of Origin.

Box 13: This box must be completed indicating the place, date and signature of the exporter.

Box 14: This box must be completed indicating the place, date, name, signature and stamp of the relevant authority of the exporting Party.

Box 15: Tick appropriate box in case of “Third Country Invoicing”, “Certified True Copy”, “Accumulation”, “*De Minimis*” or “Set of Goods”.